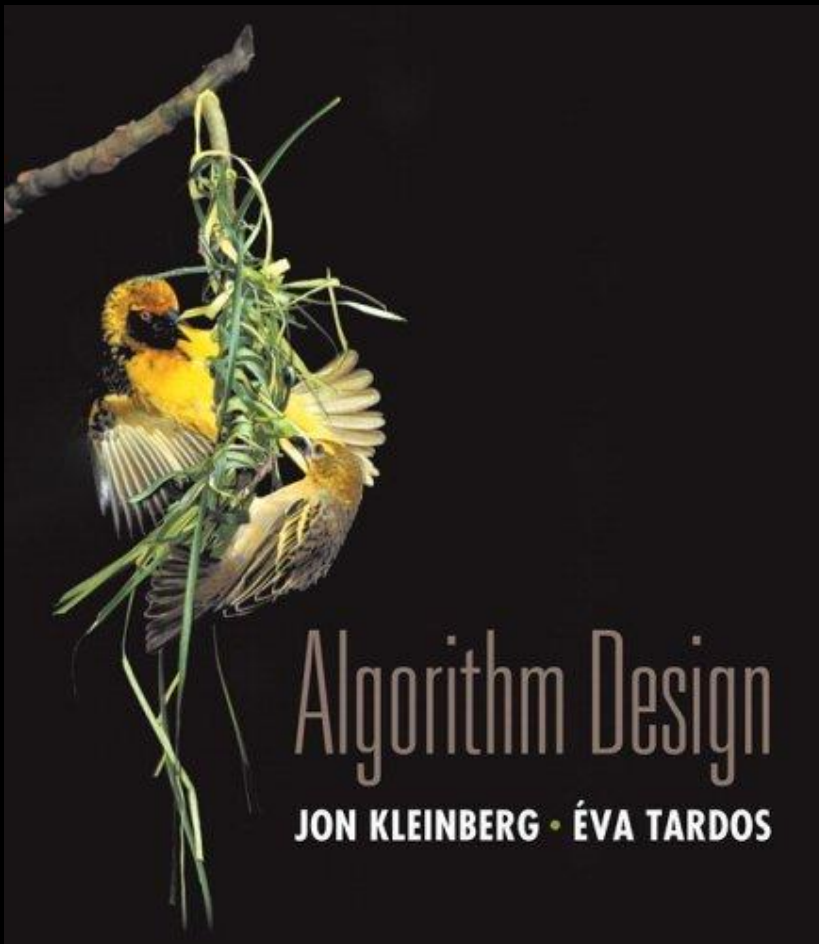


# Chapter 3

## Graphs



Slides by Kevin Wayne.  
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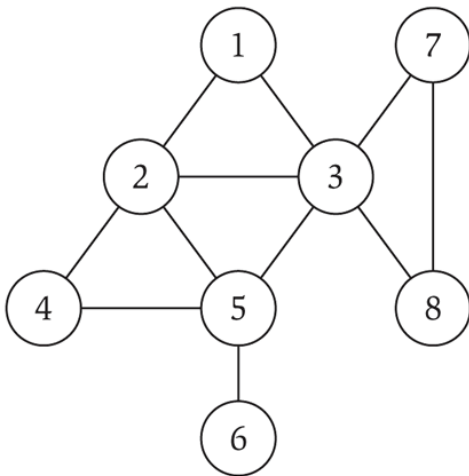
## 3.1 Basic Definitions and Applications

---

# Undirected Graphs

Undirected graph.  $G = (V, E)$

- $V$  = nodes (non-empty)
- $E$  = edges between pairs of nodes.
- Captures pairwise relationship between objects.
- Graph size parameters:  $n = |V|$ ,  $m = |E|$ .



$$V = \{ 1, 2, 3, 4, 5, 6, 7, 8 \}$$

$$E = \{ 1-2, 1-3, 2-3, 2-4, 2-5, 3-5, 3-7, 3-8, 4-5, 5-6 \}$$

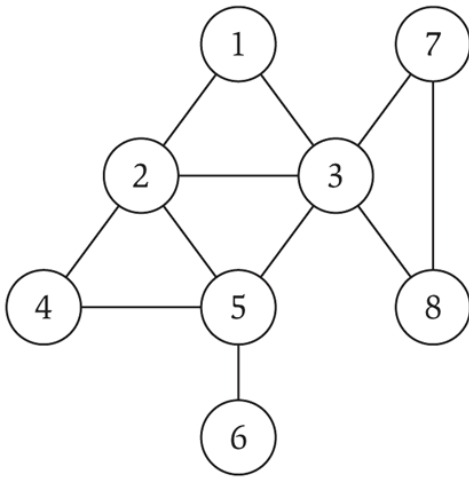
$$n = 8$$

$$m = 11$$

# Undirected Graphs

Undirected graph.  $G = (V, E)$

- $u$  and  $v$  are adjacent (neighbors) in  $G$  iff there is an edge between  $u$  and  $v$  in  $G$
- The degree  $d(u)$  of a vertex  $u$  is the number of neighbors of  $u$



1 and 3 are adjacent

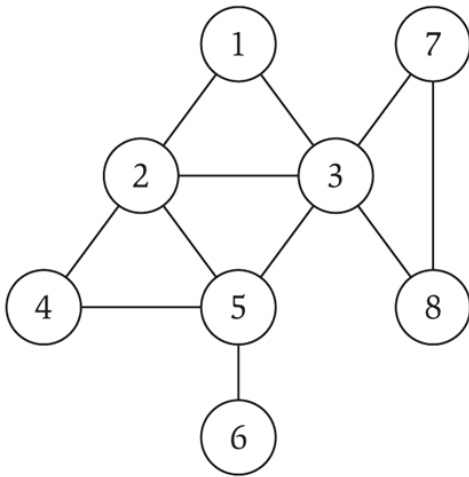
2 and 8 are not adjacent

$d(3)=5$

$d(4)=2$

# Undirected Graphs

**Important Property:** For every graph  $G$ , the sum of degrees of  $G$  equals twice the number of edges.



$m=11$

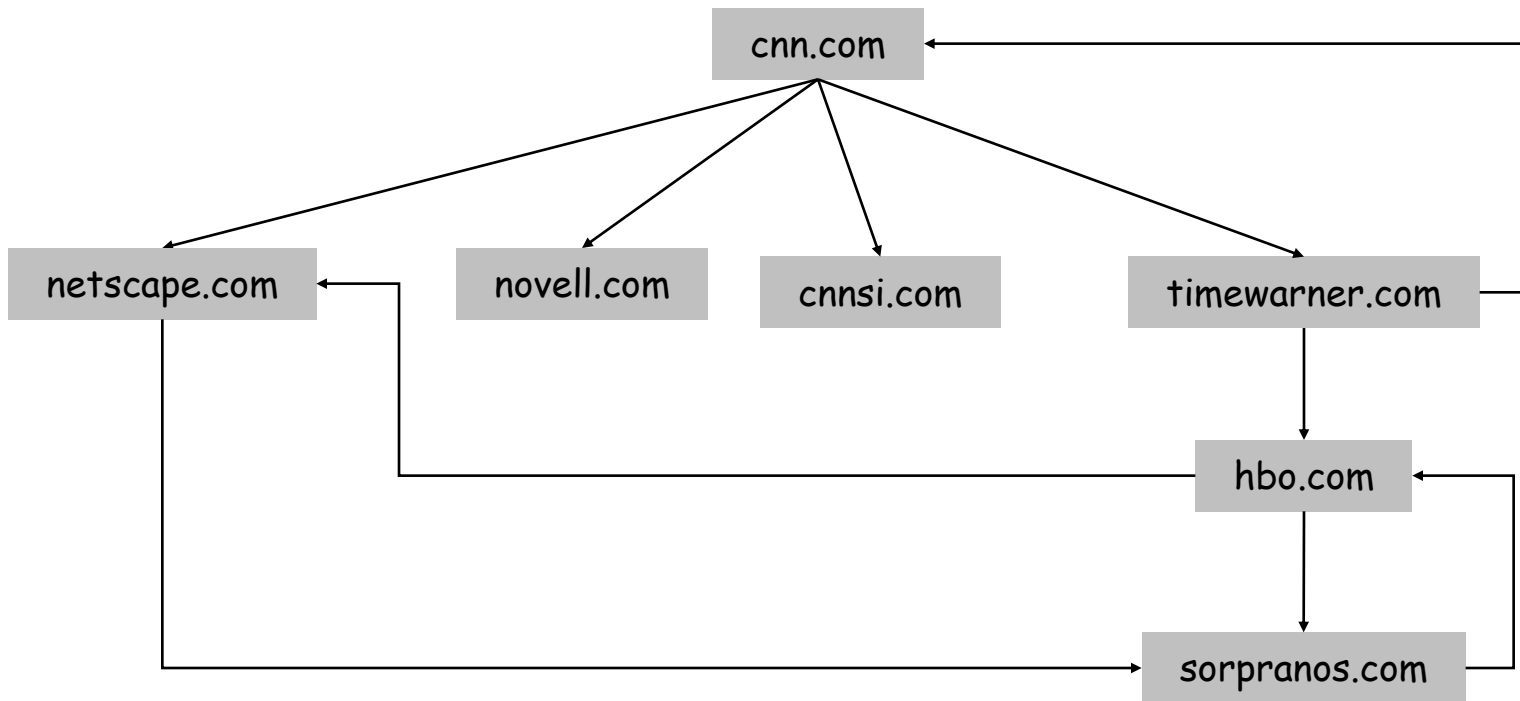
# Some Graph Applications

<i>Graph</i>	<i>Nodes</i>	<i>Edges</i>
transportation	street intersections	highways
communication	computers	fiber optic cables
World Wide Web	web pages	hyperlinks
social	people	relationships
food web	species	predator-prey
software systems	functions	function calls
scheduling	tasks	precedence constraints
circuits	gates	wires

# World Wide Web

## Web graph.

- Node: web page.
- Edge: hyperlink from one page to another.



# 9-11 Terrorist Network

## Social network graph.

- Node: people.
- Edge: relationship between two



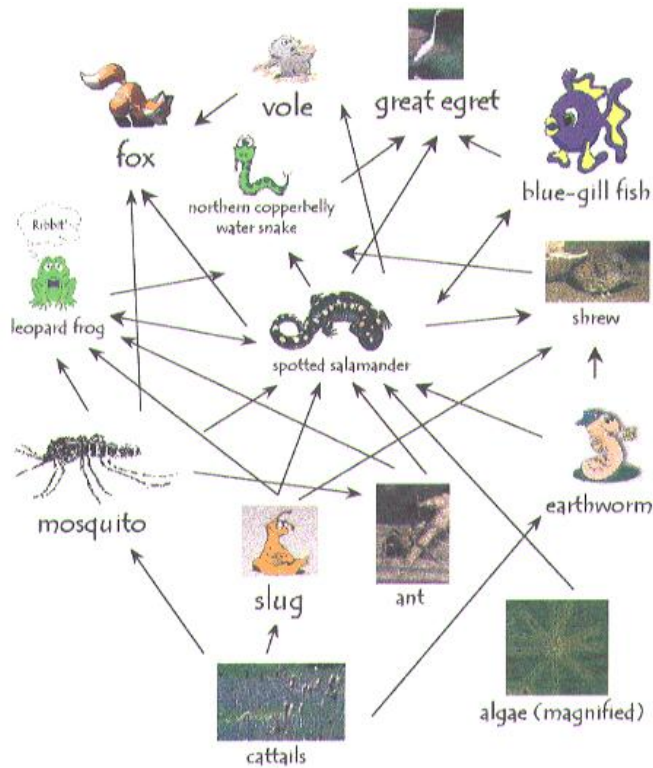
Reference: Valdis Krebs, [http://www.firstmonday.org/issues/issue7\\_4/krebs](http://www.firstmonday.org/issues/issue7_4/krebs)



# Ecological Food Web

## Food web graph.

- Node = species.
- Edge = from prey to predator.

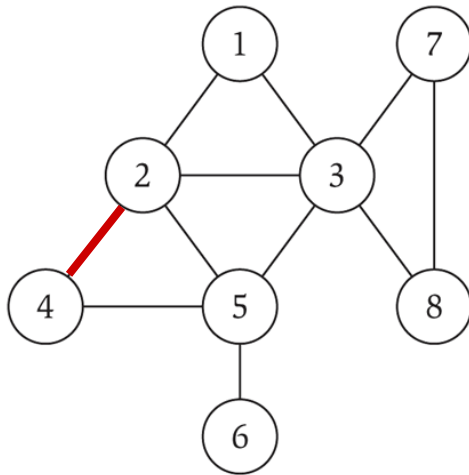


Reference: <http://www.twingroves.district96.k12.il.us/Wetlands/Salamander/SalGraphics/salfoodweb.gif>

# Graph Representation: Adjacency Matrix

**Adjacency matrix.**  $n$ -by- $n$  matrix with  $A_{uv} = 1$  if  $(u, v)$  is an edge.

- Two representations of each edge.
- Space proportional to  $n^2$ .
- Checking if  $(u, v)$  is an edge takes  $\Theta(1)$  time.
- Identifying all edges takes  $\Theta(n^2)$  time.



	1	2	3	4	5	6	7	8
1	0	1	1	0	0	0	0	0
2	1	0	1	1	1	0	0	0
3	1	1	0	0	1	0	1	1
4	0	1	0	0	1	0	0	0
5	0	1	1	1	0	1	0	0
6	0	0	0	0	1	0	0	0
7	0	0	1	0	0	0	0	1
8	0	0	1	0	0	0	1	0

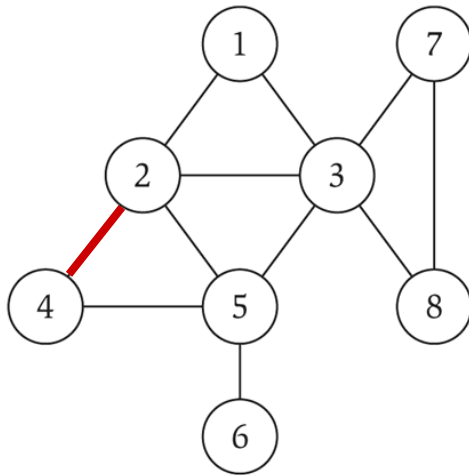
# Graph Representation: Adjacency Matrix

Line Graph:  $n$  vertices and  $n-1$  edges.

- Adjacency matrix is full of 0's

## Facebook

- 750M vertices
- Assumption: each person has 130 friends in average
- 550 Petabytes to store approximately 50 Billion edges;



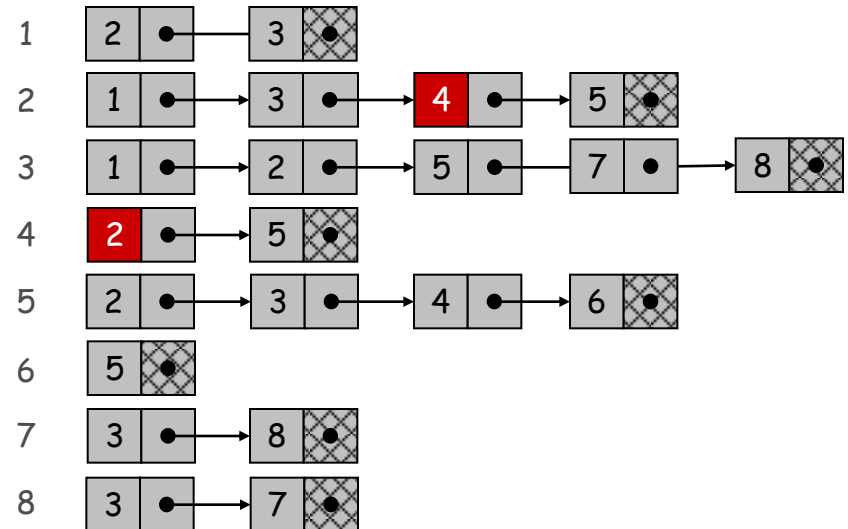
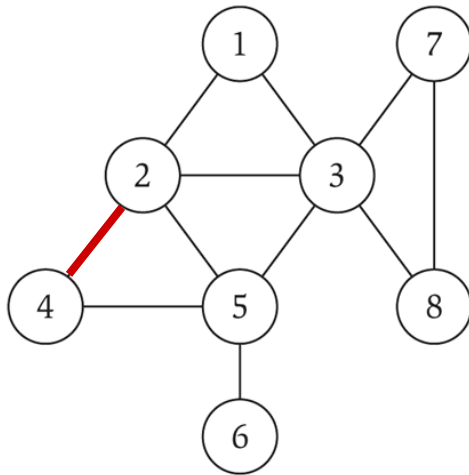
	1	2	3	4	5	6	7	8
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3	1	1	0	0	1	0	1	1
4	0	1	0	0	1	0	0	0
5	0	1	1	1	0	1	0	0
6	0	0	0	0	1	0	0	0
7	0	0	1	0	0	0	0	1
8	0	0	1	0	0	0	1	0

# Graph Representation: Adjacency List

Adjacency list. Node indexed array of lists.

- Two representations of each edge.
- Space proportional to  $m + n$ .
- Checking if  $(u, v)$  is an edge takes  $O(\text{deg}(u))$  time.
- Identifying all edges takes  $\Theta(m + n)$  time.

degree = number of neighbors of  $u$

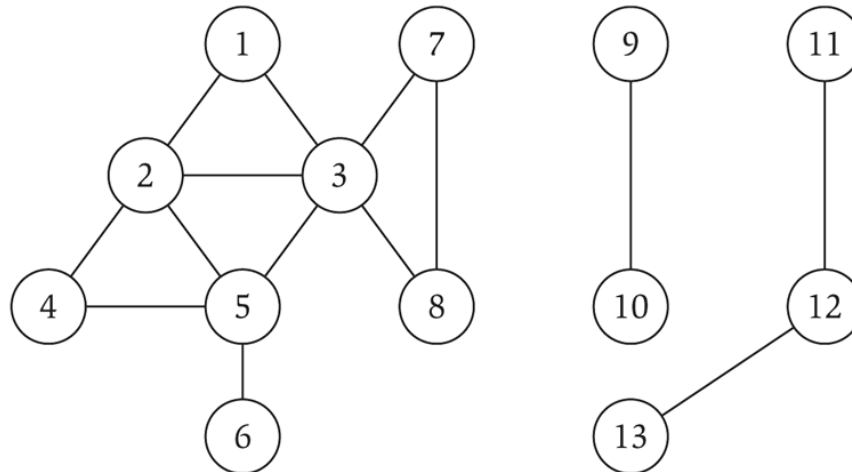


# Paths and Connectivity

**Def.** A **path** in an undirected graph  $G = (V, E)$  is a sequence  $P$  of nodes  $v_1, v_2, \dots, v_{k-1}, v_k$  with the property that each consecutive pair  $v_i, v_{i+1}$  is joined by an edge in  $E$ .

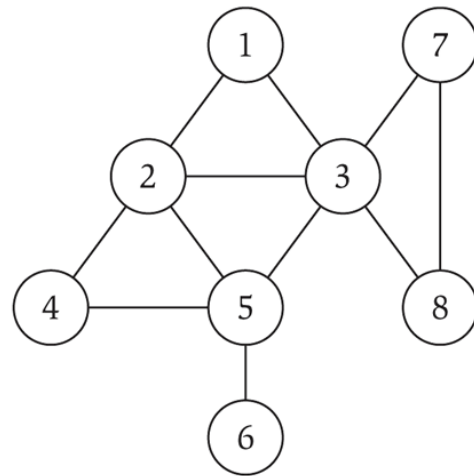
**Def.** A path is **simple** if all nodes are distinct.

**Def.** An undirected graph is **connected** if for every pair of nodes  $u$  and  $v$ , there is a path between  $u$  and  $v$ .



# Cycles

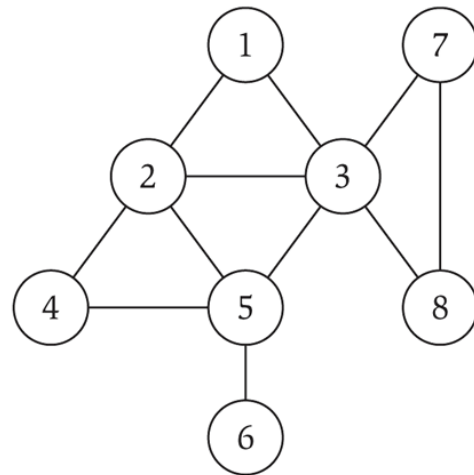
**Def.** A **cycle** is a path  $v_1, v_2, \dots, v_{k-1}, v_k$  in which  $v_1 = v_k$ ,  $k > 3$ , and the first  $k-1$  nodes are all distinct.



cycle  $C = 1-2-4-5-3-1$

# Distance

**Def.** The **distance** between vertexes  $s$  and  $t$  in a graph  $G$  is the number of edges of the shortest path connecting  $s$  to  $t$  in  $G$ .



Distance(1,4) = 2

Distance(6,3) = 2

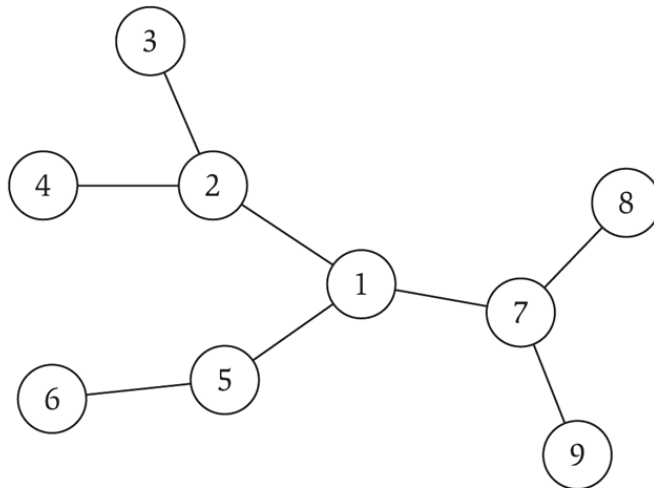
Distance(7,8) = 1

# Trees

**Def.** An undirected graph is a **tree** if it is connected and does not contain a cycle.

**Theorem.** Let  $G$  be an undirected graph on  $n$  nodes. Any two of the following statements imply the third.

- $G$  is connected.
- $G$  does not contain a cycle.
- $G$  has  $n-1$  edges.

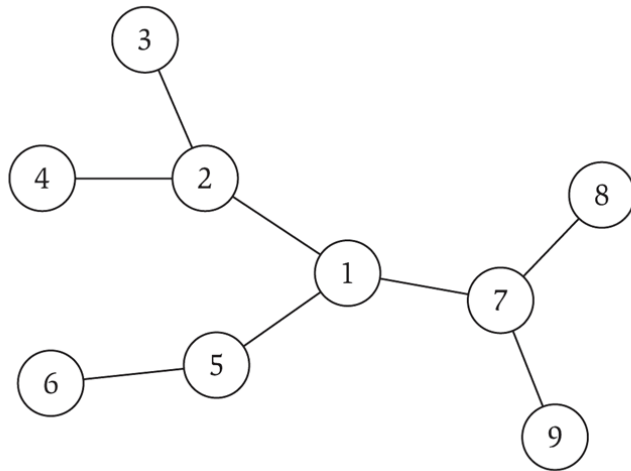




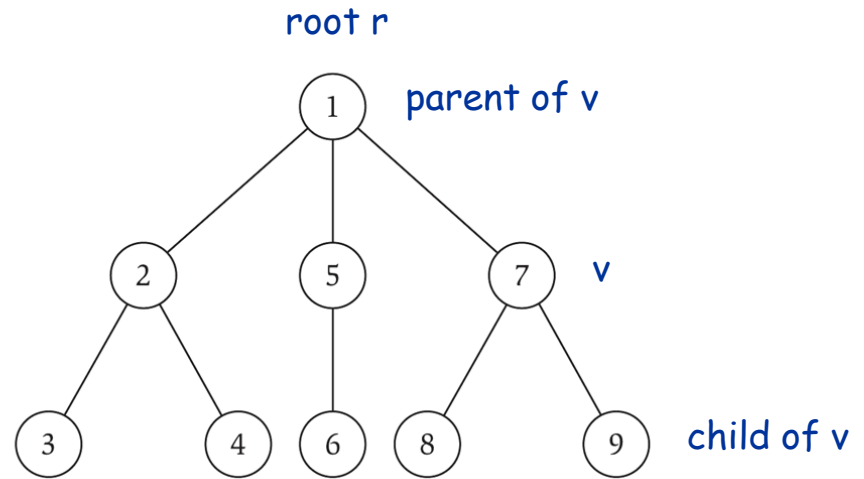
# Rooted Trees

**Rooted tree.** Given a tree  $T$ , choose a root node  $r$  and orient each edge away from  $r$ .

**Importance.** Models hierarchical structure.



a tree



the same tree, rooted at 1

## 3.2 Graph Traversal

---

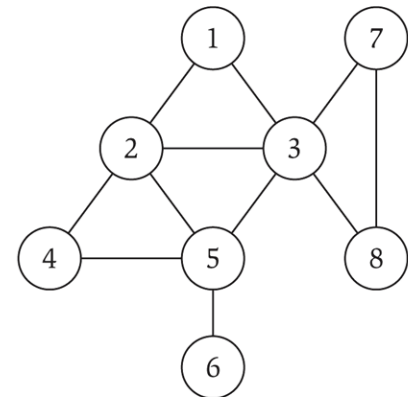
# Connectivity

**s-t connectivity problem.** Given two nodes  $s$  and  $t$ , is there a path between  $s$  and  $t$ ?

**s-t shortest path problem.** Given two nodes  $s$  and  $t$ , what is the length of the shortest path between  $s$  and  $t$ ?

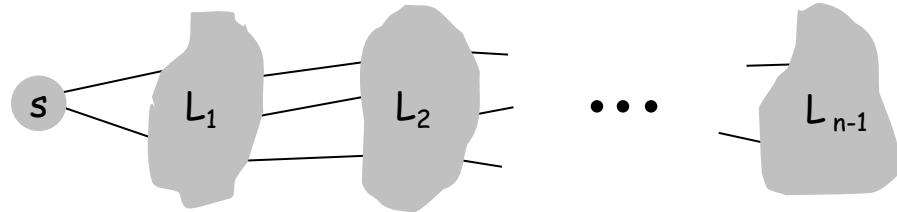
## Applications.

- Maze traversal.
- Kevin Bacon number.
- Fewest number of hops in a communication network.



# Breadth First Search

**BFS intuition.** Explore outward from  $s$  in all possible directions, adding nodes one "layer" at a time.



**BFS algorithm.**

- $L_0 = \{ s \}$ .
- $L_1 =$  all neighbors of  $L_0$ .
- $L_2 =$  all nodes that do not belong to  $L_0$  or  $L_1$ , and that have an edge to a node in  $L_1$ .
- $L_{i+1} =$  all nodes that do not belong to an earlier layer, and that have an edge to a node in  $L_i$ .

**Theorem.** For each  $i$ ,  $L_i$  consists of all nodes at distance exactly  $i$  from  $s$ . There is a path from  $s$  to  $t$  iff  $t$  appears in some layer.

# Breadth First Search

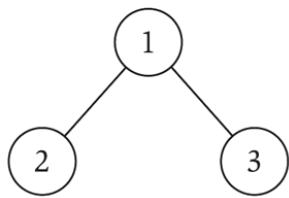
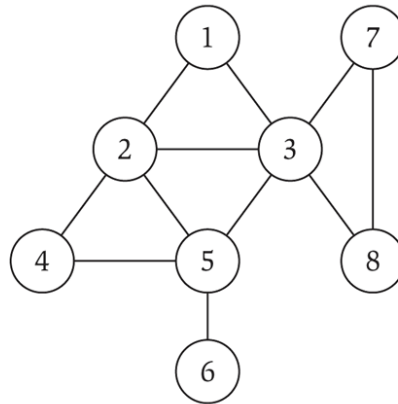
**Definition** A BFS tree of  $G = (V, E)$ , is the tree induced by a BFS search on  $G$ .

- The root of the tree is the start point of the BFS
- A node  $u$  is a parent of  $v$  if  $v$  is first visited when the BFS traverses the neighbors of  $u$

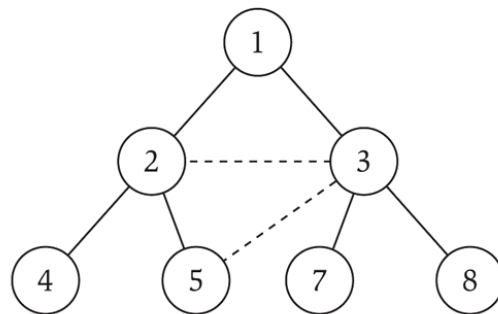
**Observation** For the same graph there can be different BFS trees. The BFS tree topology depends on the start point of the BFS and the rule employed to break ties (how the data structure is traversed)

# Breadth First Search

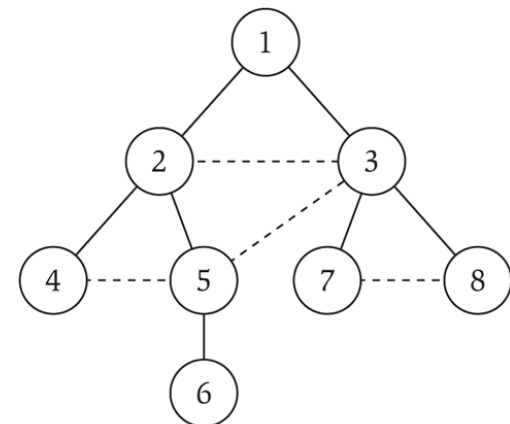
**Property.** Let  $T$  be a BFS tree of  $G = (V, E)$ , and let  $(x, y)$  be an edge of  $G$ . Then the level of  $x$  and  $y$  differ by at most 1.



(a)



(b)



(c)

$L_0$

$L_1$

$L_2$

$L_3$

# Busca em Largura

## BFS(G)

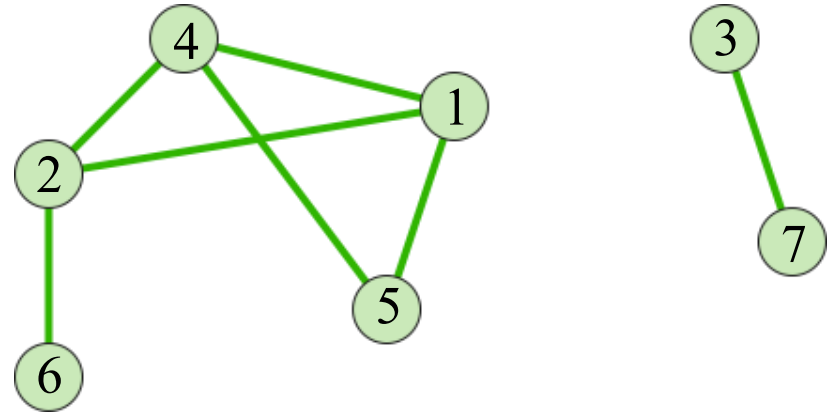
```
1 for every vertex  $s$  of  $G$  not explored yet
2   do Enqueue( $S,s$ )
3   mark vertex  $s$  as visited
4   while  $S$  is not empty do
5      $u \leftarrow$  Dequeue( $S$ );
6     For each  $v$  in Adj[ $u$ ] then
7       if  $v$  is unexplored then
8         mark edge  $(v,u)$  as tree edge
9         mark vertex  $v$  as visited
10    Enqueue( $S,v$ )
```

## Notação

- Adj [ $u$  ] : lista dos vértices adjacentes a  $u$  em alguma ordem
- Dequeue( $S$ ): Remove o primeiro elemento da fila  $S$
- Enqueue ( $S,v$ ) : Adiciona o nó  $v$  na fila  $S$

# Exemplo

S



## BFS(G)

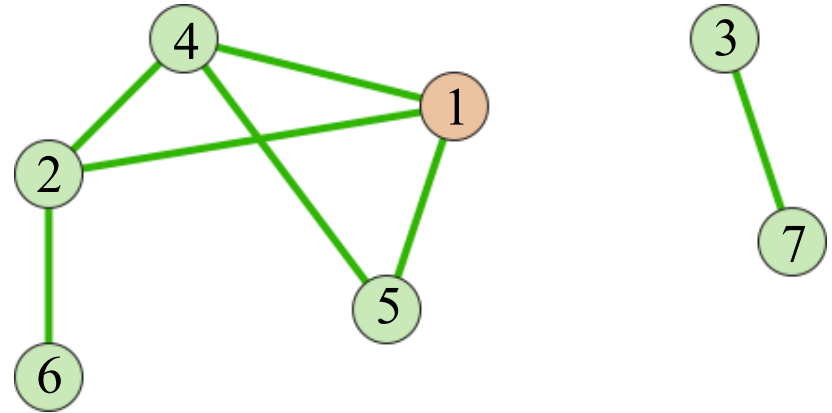
```
1 for every vertex s of G not explored yet
2   do Enqueue(S,s);
3   mark vertex s as visited
4   while S is not empty do
5      $u \leftarrow$  Dequeue(S);
6     For each v in Adj[u] then
7       if v is unexplored then
8         mark edge (v,u) as tree edge
9         mark vertex v as visited
10    Enqueue(S,v)
```



# Exemplo

S

1

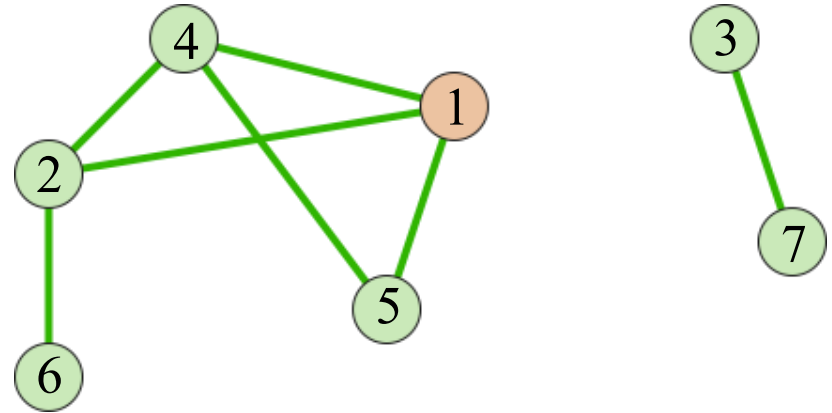


## BFS(G)

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```

# Exemplo

S



## BFS(G)

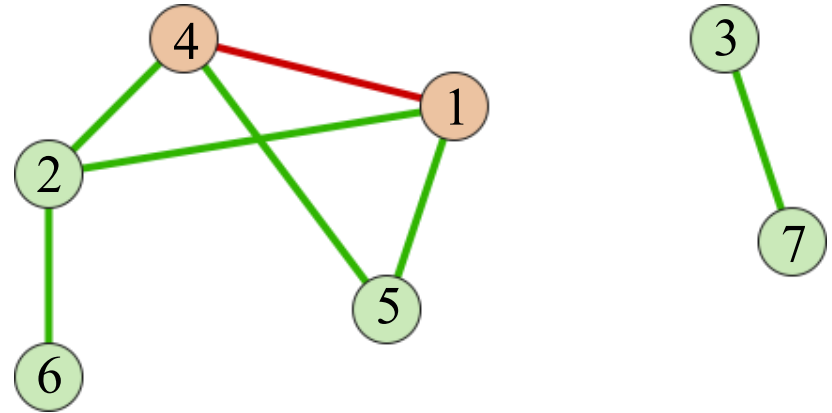
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9         mark vertex v as visited
10    Enqueue(S,v)
```

# Exemplo

S

4

---

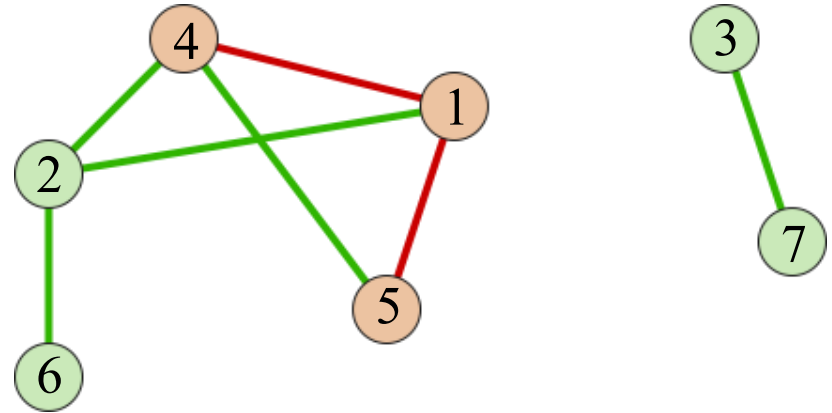


## BFS(G)

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```

# Exemplo

S 4 5



## BFS(G)

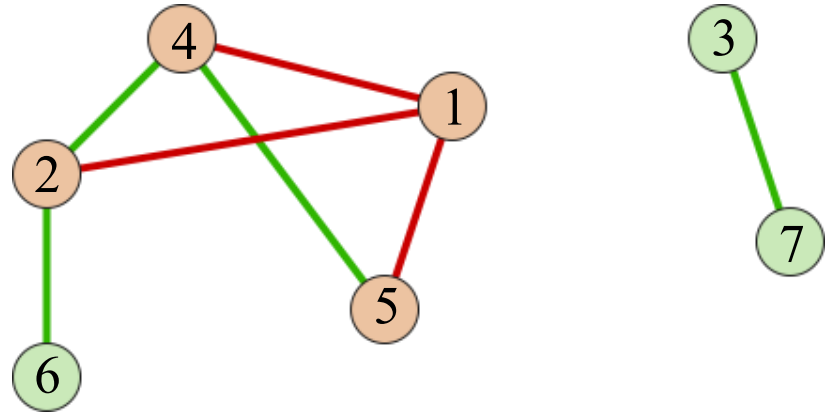
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1 for every vertex s of G not explored yet
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4   while S is not empty do
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7       if v is unexplored then
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9         mark vertex v as visited
10    Enqueue(S,v)
```

# Exemplo

S     4 5 2

---

---

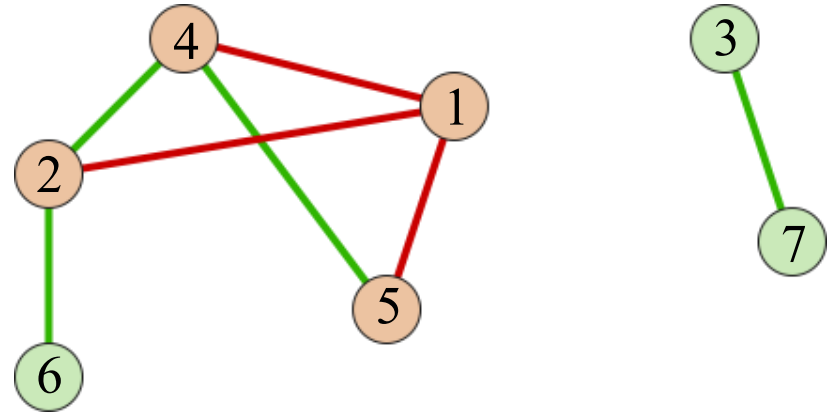


## BFS(G)

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```

# Exemplo

S 5 2



## BFS(G)

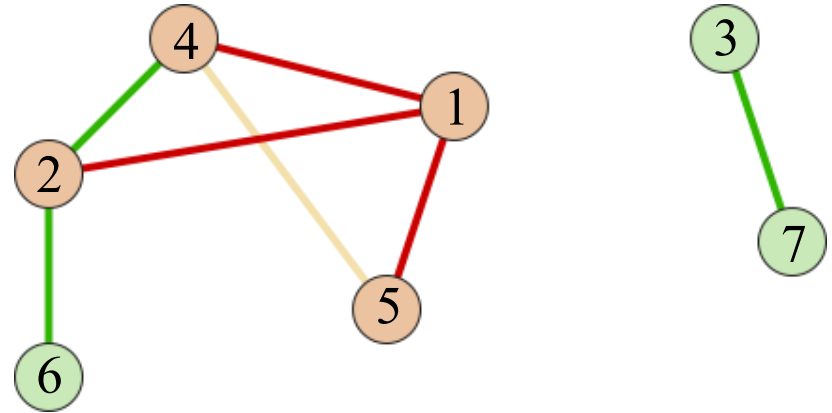
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```

# Exemplo

S     5 2

---

---



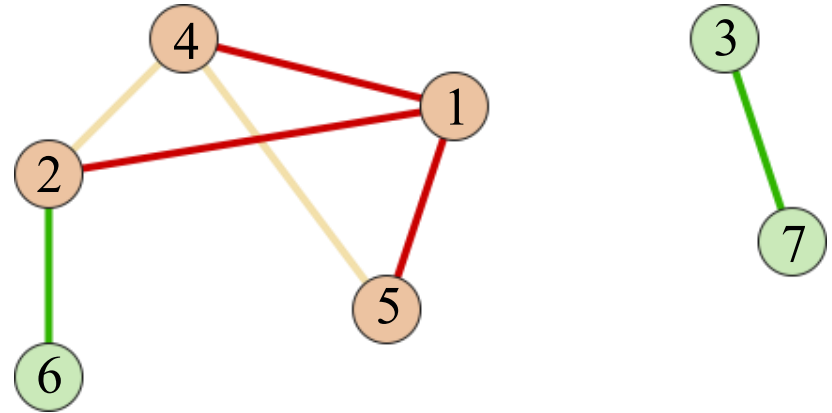
## BFS(G)

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```

# Exemplo

S

5 2



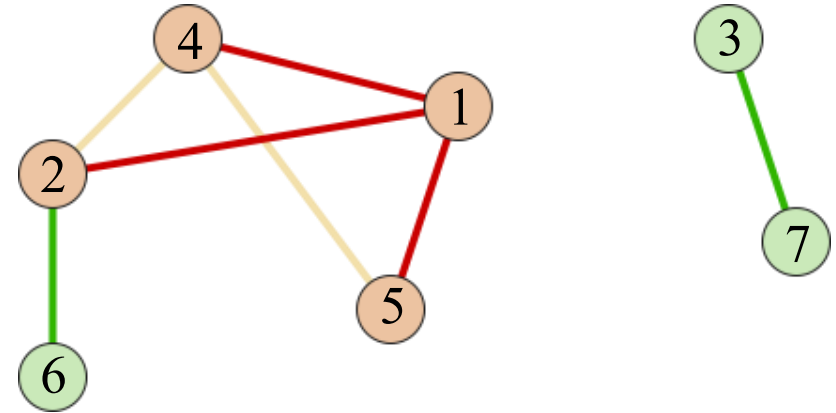
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```



# Exemplo

S 2

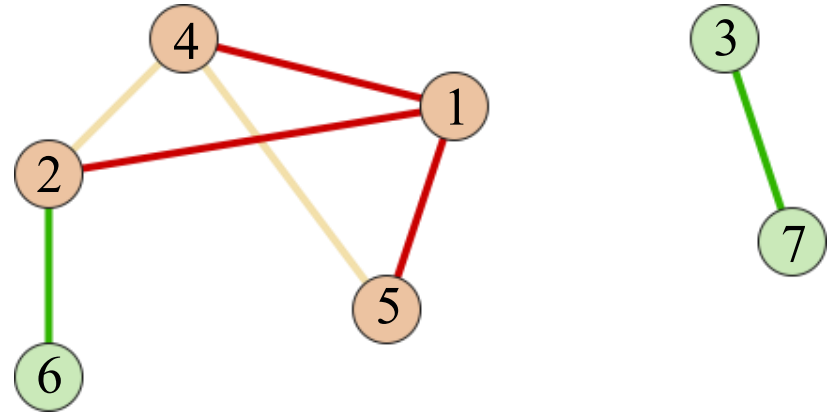


## BFS(G)

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9         mark vertex v as visited
10    Enqueue(S,v)
```

# Exemplo

S



## BFS(G)

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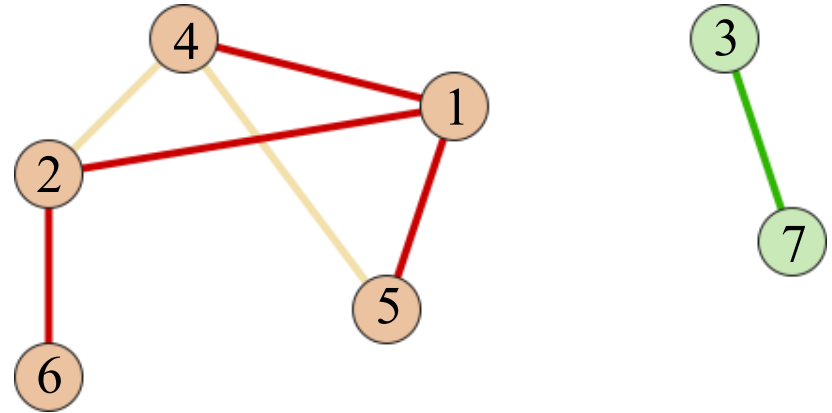
# Exemplo

S

---

6

---

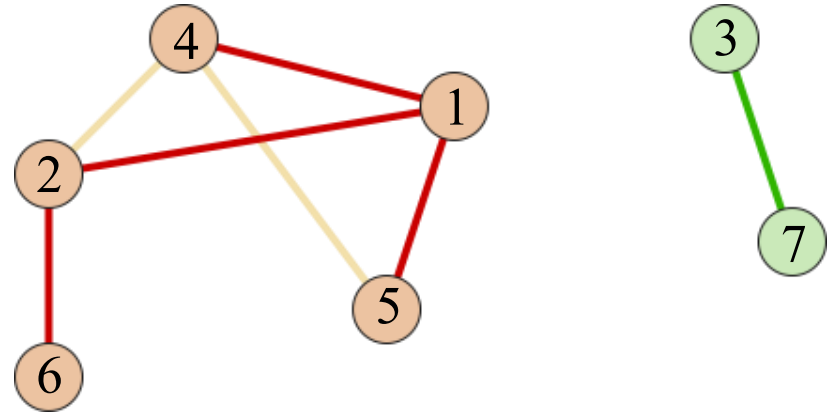


## BFS(G)

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# Exemplo

S



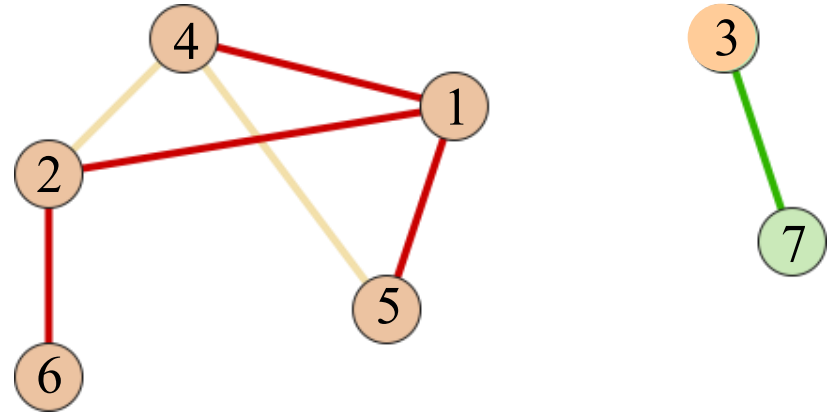
## BFS(G)

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9         mark vertex v as visited
10    Enqueue(S,v)
```

# Exemplo

S

3

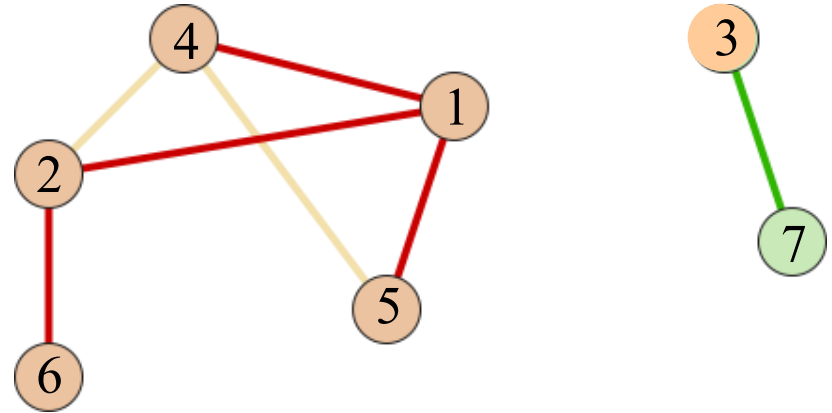


## BFS(G)

```
1 for every vertex s of G not explored yet
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```

# Exemplo

S



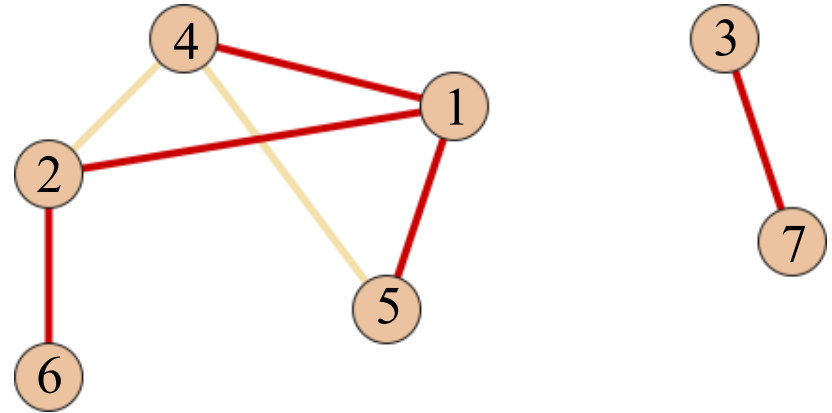
## BFS(G)

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8         mark edge (v,u) as tree edge
9         mark vertex v as visited
10    Enqueue(S,v)
```

# Exemplo

S

7

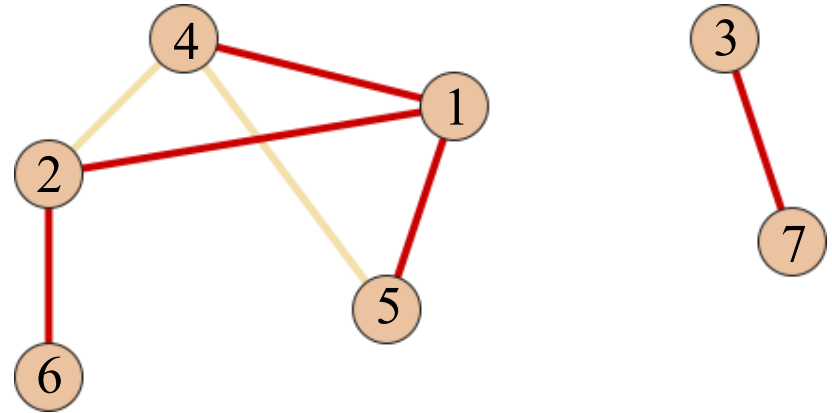


## BFS(G)

```
1 for every vertex s of G not explored yet
2   do Enqueue(S,s);
3   mark vertex s as visited
4   while S is not empty do
5      $u \leftarrow$  Dequeue(S);
6     For each v in Adj[u] then
7       if v is unexplored then
8         mark edge (v,u) as tree edge
9         mark vertex v as visited
10    Enqueue(S,v)
```

# Exemplo

S



## BFS(G)

```
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5     u ← Dequeue(S);
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```



# Breadth First Search: Analysis

## Análise $O(n^2)$ :

- (i) Cada vértice entra na fila  $S$  no máximo uma vez → Loop **While** é executado no máximo  $n$  vezes.
- (ii) Cada vértice tem no máximo  $n-1$  vizinhos → **For** é executado no máximo  $n$  vezes
- (i) e (ii) implicam em  $O(n^2)$

## Análise $O(m + n)$

- (i) custo dentro do **While**  $O(n)$
- (ii) Custo dentro do **For** para vértice  $u$  é  $\text{degree}(u)$ . Somando para todos os vertices temos  $\sum_{u \in V} \text{degree}(u) = 2m$
- (i) e (ii) implicam em  $O(n+m)$



each edge  $(u, v)$  is counted exactly twice  
in sum: once in  $\text{deg}(u)$  and once in  $\text{deg}(v)$

# Breadth First Search: Analysis

**Theorem.** The above implementation of BFS runs in  $O(m + n)$  time if the graph is given by its adjacency representation.

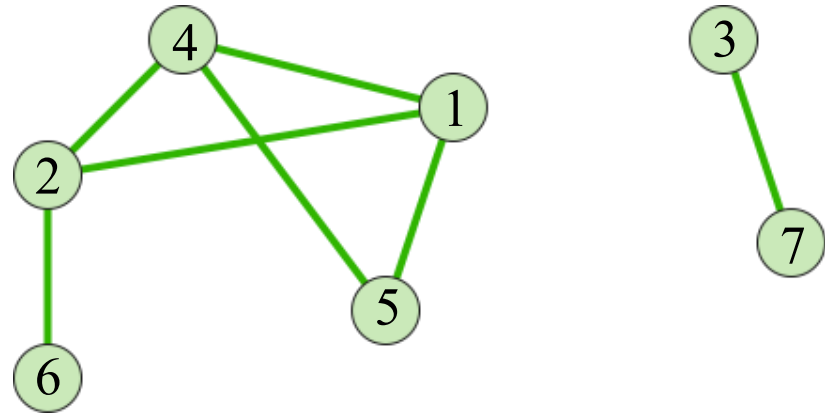
**Pf.**

- when we consider node  $u$ , there are  $\text{deg}(u)$  incident edges  $(u, v)$
- total time processing edges is  $\sum_{u \in V} \text{deg}(u) = 2m$  ■



each edge  $(u, v)$  is counted exactly twice  
in sum: once in  $\text{deg}(u)$  and once in  $\text{deg}(v)$

# Busca em Profundidade



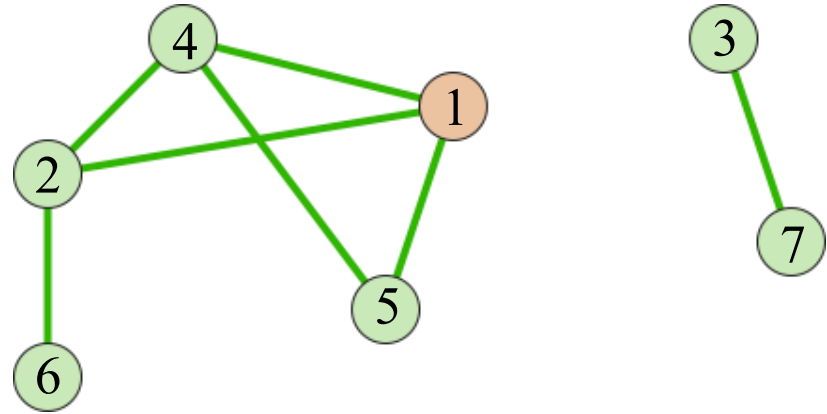
## DFS( $G$ )

- 1 **Para todo**  $v$  em  $G$
- 2 **Se**  $v$  não visitado **então**
- 3  $\text{DFS-Visit}(G, v)$

## DFS-Visit( $G, v$ )

- 1 Marque  $v$  como visitado
- 2 **Para todo**  $w$  em  $\text{Adj}(v)$
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## Busca em Profundidade : Exemplo



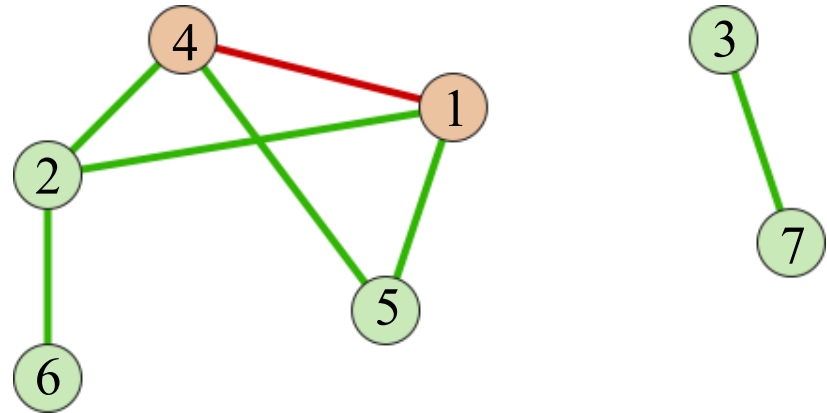
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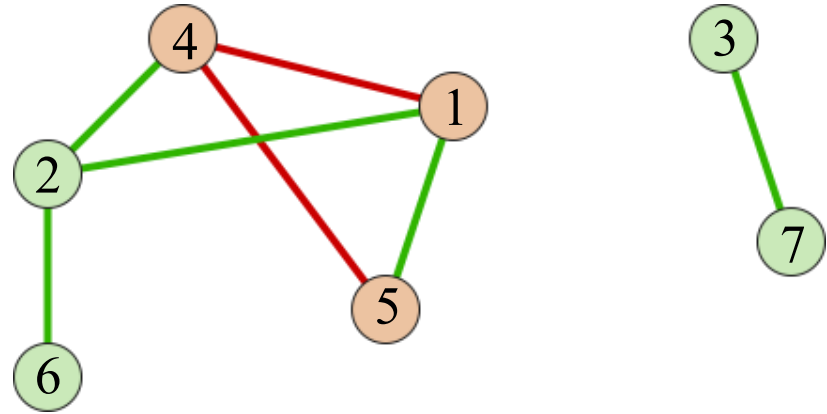
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## Busca em Profundidade : Exemplo



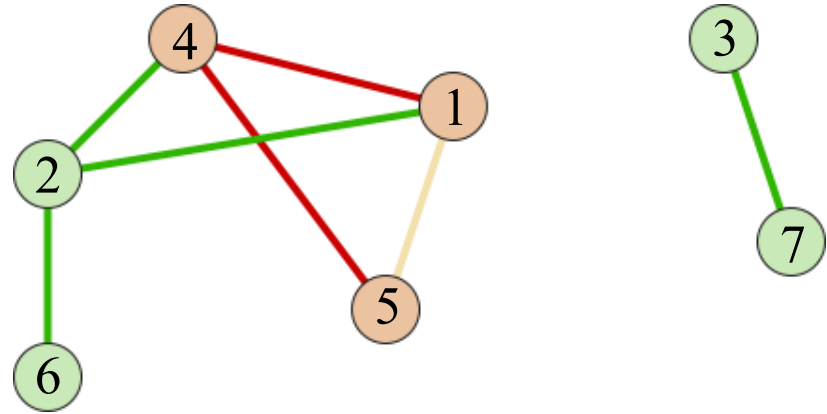
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## Busca em Profundidade : Exemplo



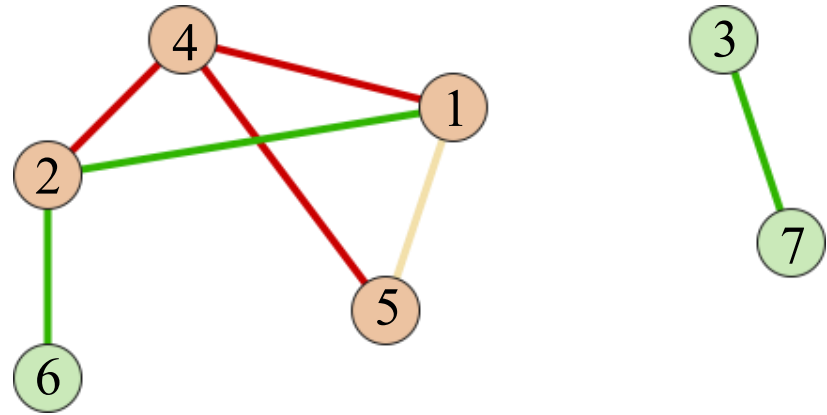
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## Busca em Profundidade : Exemplo



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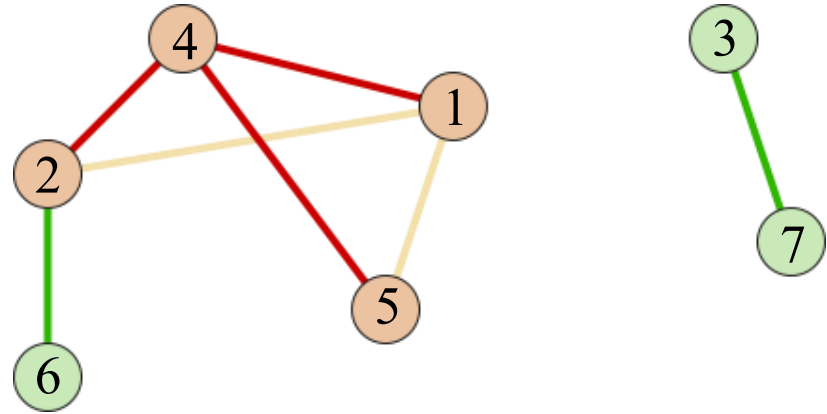
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## Busca em Profundidade : Exemplo



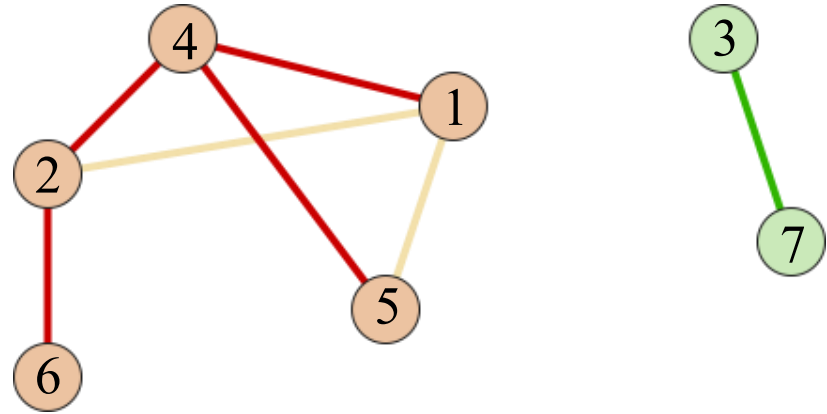
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## Busca em Profundidade : Exemplo



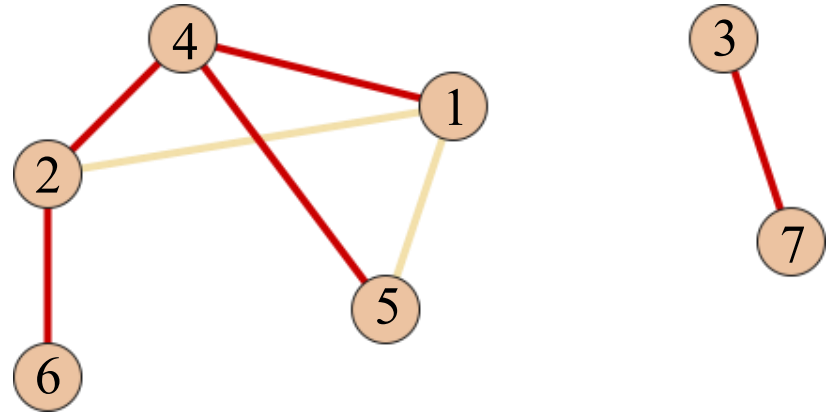
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## Busca em Profundidade : Exemplo



### DFS( $G$ )

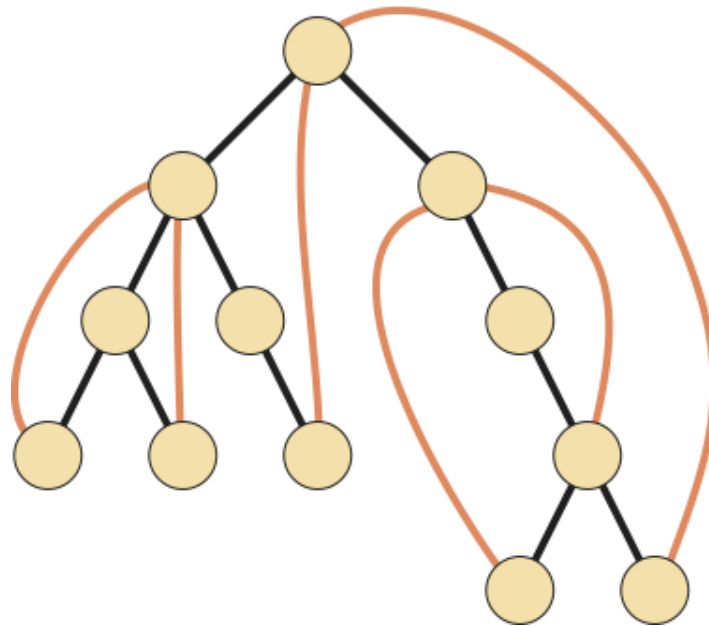
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## Propriedades da Busca de Profundidade

**Teorema:** *Seja  $T$  a árvore produzida por uma DFS em  $G$  e seja  $vw$  uma aresta de  $G$ . Se  $v$  é visitado antes de  $w$  então  $v$  é ancestral de  $w$  em  $T$ .*



Arestas em preto: árvore DFS

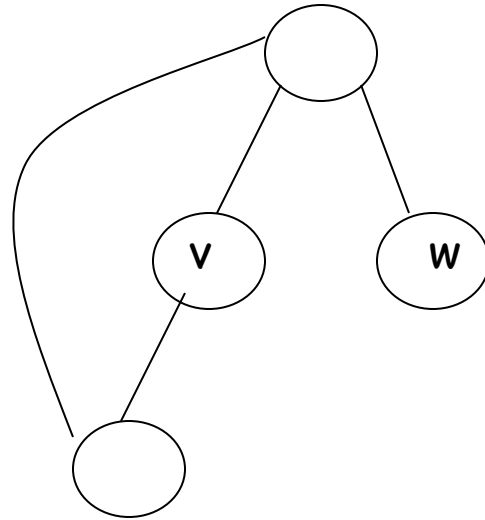
Arestas em preto e laranja: arestas do grafo

## Propriedades da Busca de Profundidade

**Nota:** Se  $T$  é uma árvore produzida por uma DFS em  $G$ , existe um caminho entre  $v$  e  $w$  em  $G$  e  $v$  é visitado antes de  $w$  então **não** necessariamente  $v$  é ancestral de  $w$  em  $T$ .

## Propriedades da Busca de Profundidade

**Nota:** Se  $T$  é uma árvore produzida por uma DFS em  $G$ , existe um caminho entre  $v$  e  $w$  em  $G$  e  $v$  é visitado antes de  $w$  então **não** necessariamente  $v$  é ancestral de  $w$  em  $T$ .



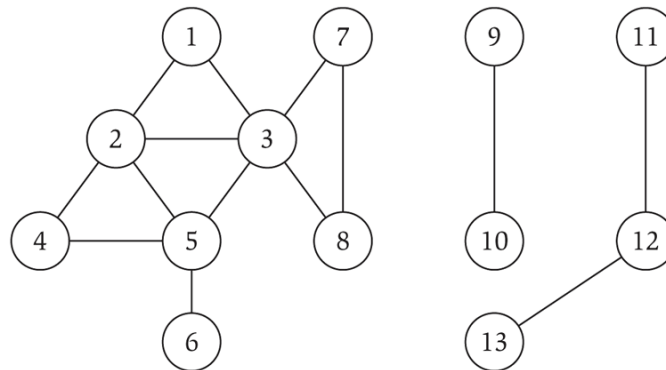
# Connected Component

**Def Connected set.**  $S$  is a connected set if and only if

- $v$  is reachable from  $u$  and  $u$  is reachable from  $v$  for every  $u, v$  in  $S$

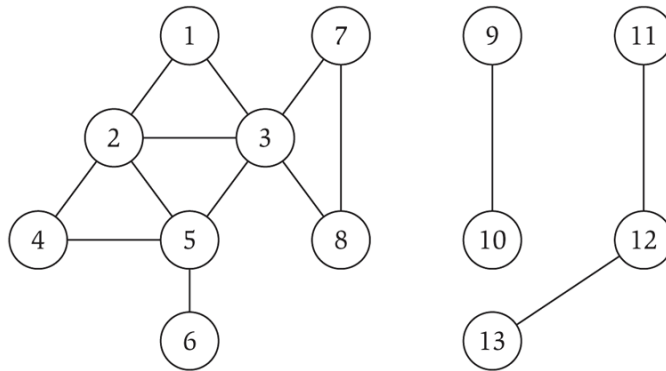
**Def Connected Component.**  $S$  is a connected component if and only if

- $S$  is a connected set
- for every  $u$  in  $V-S$ ,  $S \cup \{u\}$  is not connected



# Connected Component

Connected components.



Connected component containing node 1 = { 1, 2, 3, 4, 5, 6, 7, 8 }.

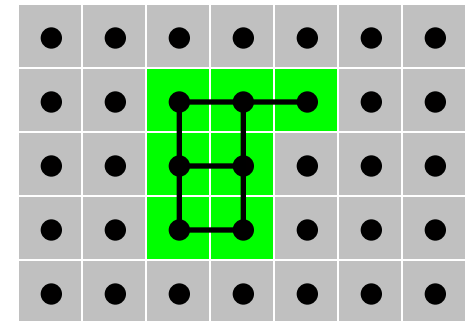
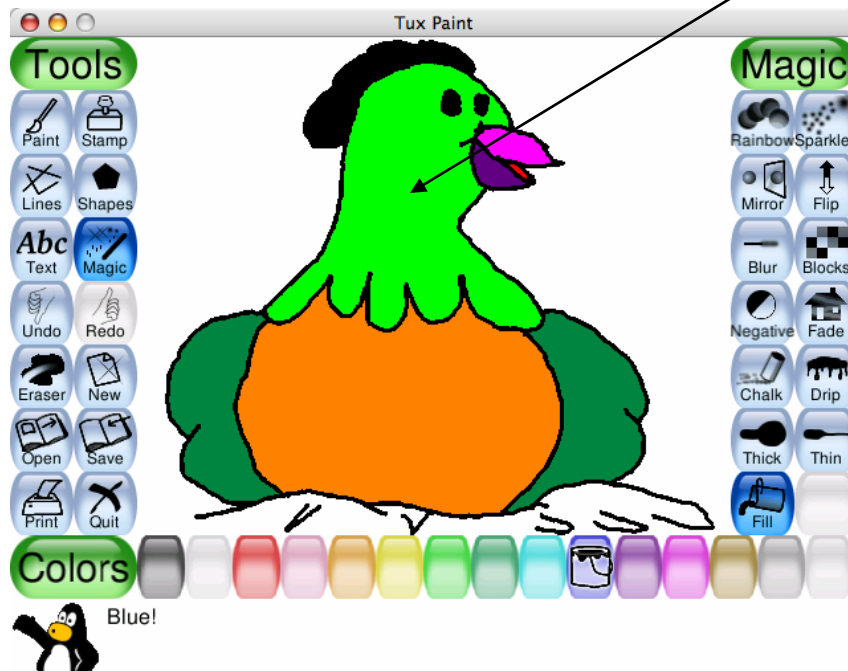


# Flood Fill

**Flood fill.** Given lime green pixel in an image, change color of entire blob of neighboring lime pixels to blue.

- Node: pixel.
- Edge: two neighboring lime pixels.
- Blob: connected component of lime pixels.

recolor lime green blob to blue

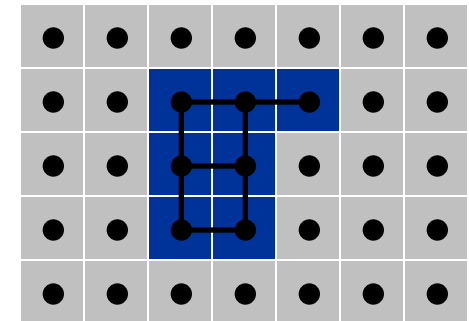


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## 3.4 Testing Bipartiteness

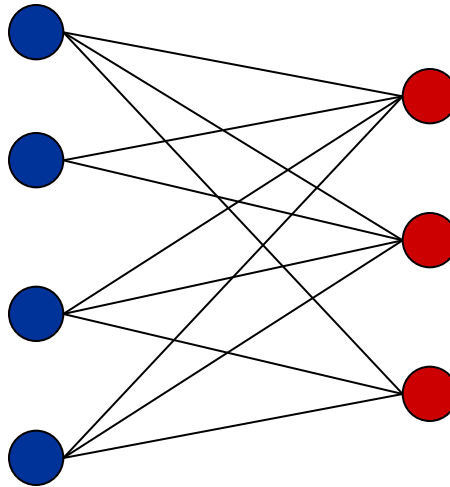
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# Bipartite Graphs

**Def.** An undirected graph  $G = (V, E)$  is **bipartite** if the nodes can be colored red or blue such that every edge has one red and one blue end.

## Applications.

- Stable marriage: men = red, women = blue.
- Scheduling: machines = red, jobs = blue.

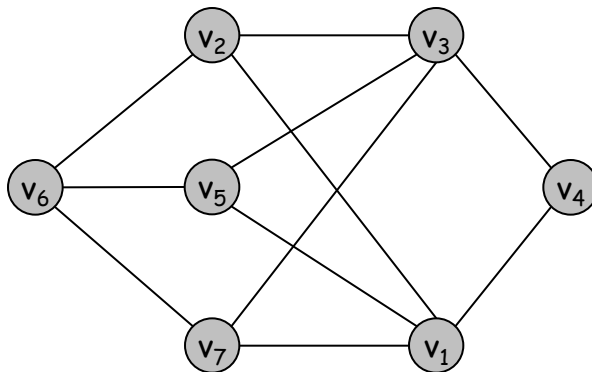


a bipartite graph

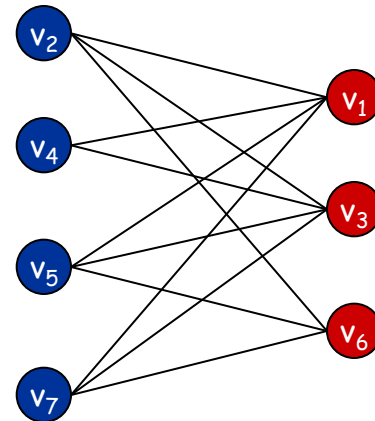
# Testing Bipartiteness

Testing bipartiteness. Given a graph  $G$ , is it bipartite?

- Many graph problems become:
  - easier if the underlying graph is bipartite (matching)
  - tractable if the underlying graph is bipartite (independent set)
- Before attempting to design an algorithm, we need to understand structure of bipartite graphs.



a bipartite graph  $G$

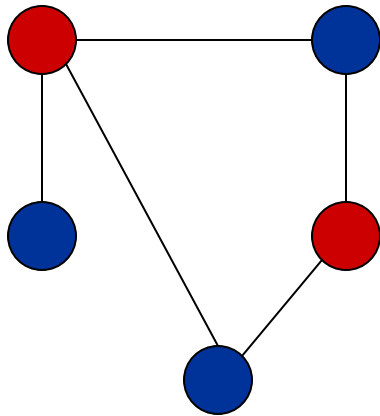


another drawing of  $G$

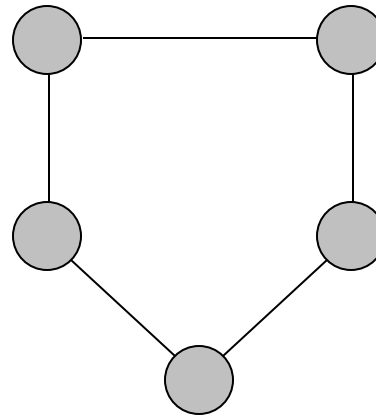
# An Obstruction to Bipartiteness

**Lemma.** If a graph  $G$  is bipartite, it cannot contain an odd length cycle.

**Pf.** Not possible to 2-color the odd cycle, let alone  $G$ .



bipartite  
(2-colorable)

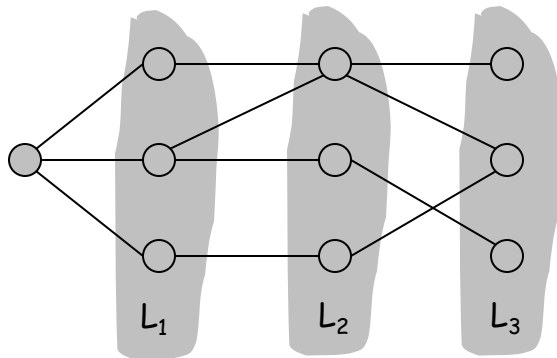


not bipartite  
(not 2-colorable)

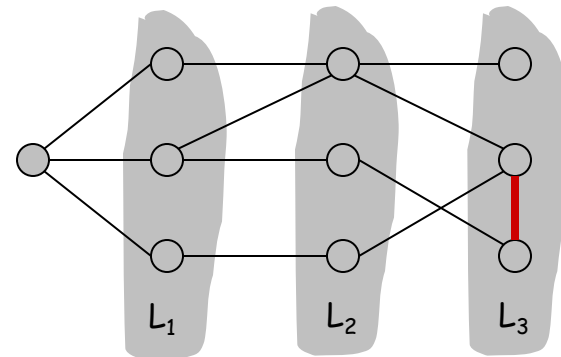
# Bipartite Graphs

**Lemma.** Let  $G$  be a connected graph, and let  $L_0, \dots, L_k$  be the layers produced by BFS starting at node  $s$ . Exactly one of the following holds.

- (i) No edge of  $G$  joins two nodes of the same layer, and  $G$  is bipartite.
- (ii) An edge of  $G$  joins two nodes of the same layer, and  $G$  contains an odd-length cycle (and hence is not bipartite).



Case (i)



Case (ii)

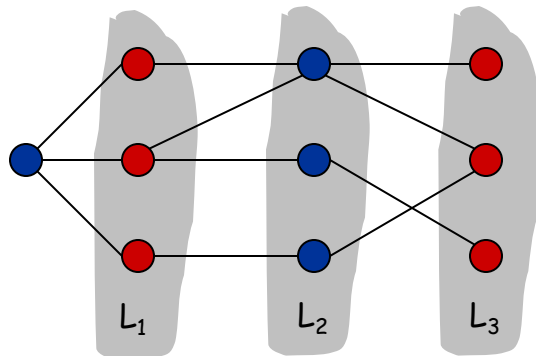
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**Pf.** (i)

- Suppose no edge joins two nodes in the same layer.
- By previous lemma, this implies all edges join nodes on consecutive levels.
- Bipartition: red = nodes on odd levels, blue = nodes on even levels.



Case (i)



# Bipartite Graphs

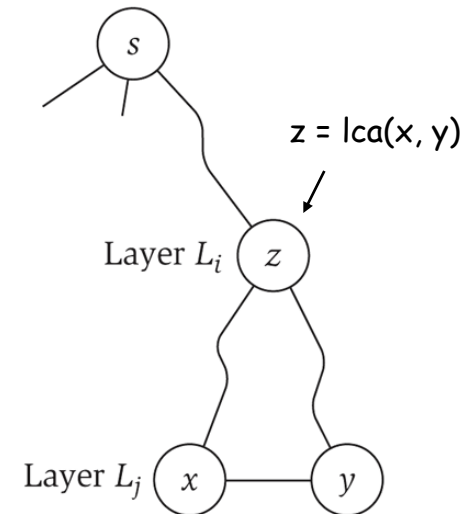
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**Pf.** (ii)

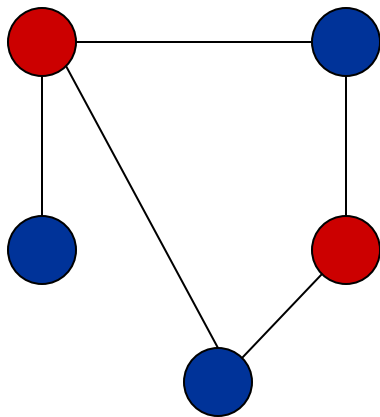
- Suppose  $(x, y)$  is an edge with  $x, y$  in same level  $L_j$ .
- Let  $z = \text{lca}(x, y) =$  lowest common ancestor.
- Let  $L_i$  be level containing  $z$ .
- Consider cycle that takes edge from  $x$  to  $y$ , then path from  $y$  to  $z$ , then path from  $z$  to  $x$ .
- Its length is  $1 + \underbrace{(j-i)}_{\text{path from } y \text{ to } z} + \underbrace{(j-i)}_{\text{path from } z \text{ to } x}$ , which is odd. ■

$$\begin{array}{ccc}
 \underbrace{\hspace{1.5cm}} & \underbrace{\hspace{1.5cm}} & \underbrace{\hspace{1.5cm}} \\
 (x, y) & \text{path from } & \text{path from} \\
 & y \text{ to } z & z \text{ to } x
 \end{array}$$

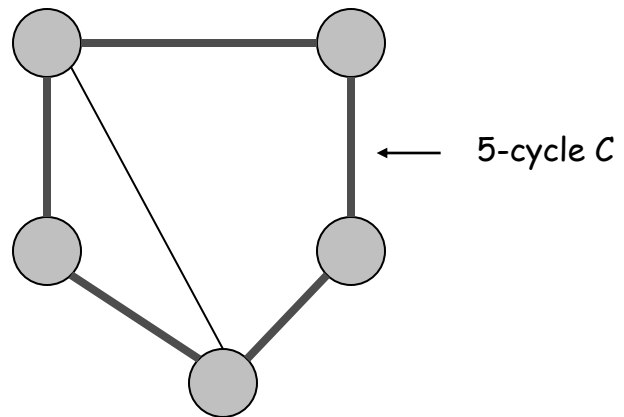


# Obstruction to Bipartiteness

**Corollary.** A graph  $G$  is bipartite iff it contains no odd length cycle.



bipartite  
(2-colorable)



not bipartite  
(not 2-colorable)

## Exercício de Implementação

1. Modifique o código da BFS para que esta preencha um vetor  $\mathbf{d}$  com  $n$  entradas aonde  $d(u)$  é a distância da origem  $s$  até o vértice  $u$ .
2. Modifique o algoritmo de busca em profundidade para que ele atribua números inteiros aos vértices do grafo de modo que
  - (i) Vértices de uma mesma componente recebam o mesmo número
  - (ii) Vértices de componentes diferentes recebam números diferentes
3. Modifique o código da BFS para que ela identifique se um grafo é bipartido ou não.

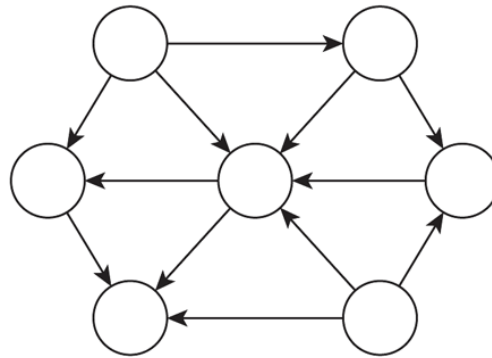
## 3.5 Connectivity in Directed Graphs

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# Directed Graphs

Directed graph.  $G = (V, E)$

- Edge  $(u, v)$  goes from node  $u$  to node  $v$ .



Ex. Web graph - hyperlink points from one web page to another.

- Directedness of graph is crucial.
- Modern web search engines exploit hyperlink structure to rank web pages by importance.

# Graph Search

**Directed reachability.** Given a node  $s$ , find all nodes reachable from  $s$ .

**Directed  $s$ - $t$  shortest path problem.** Given two nodes  $s$  and  $t$ , what is the length of the shortest path between  $s$  and  $t$ ?

**Graph search.** BFS extends naturally to directed graphs.

**Web crawler.** Start from web page  $s$ . Find all web pages linked from  $s$ , either directly or indirectly.

# Strong Connectivity

**Def.** Node  $u$  and  $v$  are **mutually reachable** if there is a path from  $u$  to  $v$  and also a path from  $v$  to  $u$ .

**Def.** A graph is **strongly connected** if every pair of nodes is mutually reachable.

How to decide whether a given graph is strongly connected?

# Strong Connectivity

## Algorithm 1

```
SC ← true
For all u,v in V
    If DFS(u) does not reach v
        SC ← False
    End If
End
```

Analysis  $O(n^2(m+n))$



# Strong Connectivity

Obs. When we execute a DFS (BFS) starting at  $u$  we find all nodes reachable from  $u$

Algorithm 2

```
SC ← true
For all  $u$  in  $V$ 
    If DFS( $u$ ) does not reach  $|V|$  nodes
        SC ← False
    End If
End
```

Analysis  $O(n(m+n))$

# Strong Connectivity

**Def.** Node  $u$  and  $v$  are **mutually reachable** if there is a path from  $u$  to  $v$  and also a path from  $v$  to  $u$ .

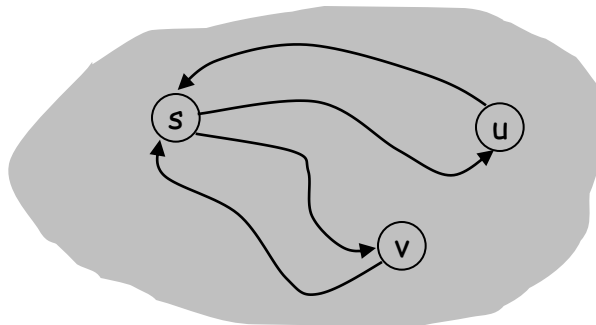
**Def.** A graph is **strongly connected** if every pair of nodes is mutually reachable.

**Lemma.** Let  $s$  be any node.  $G$  is strongly connected iff every node is reachable from  $s$ , and  $s$  is reachable from every node.

**Pf.**  $\Rightarrow$  Follows from definition.

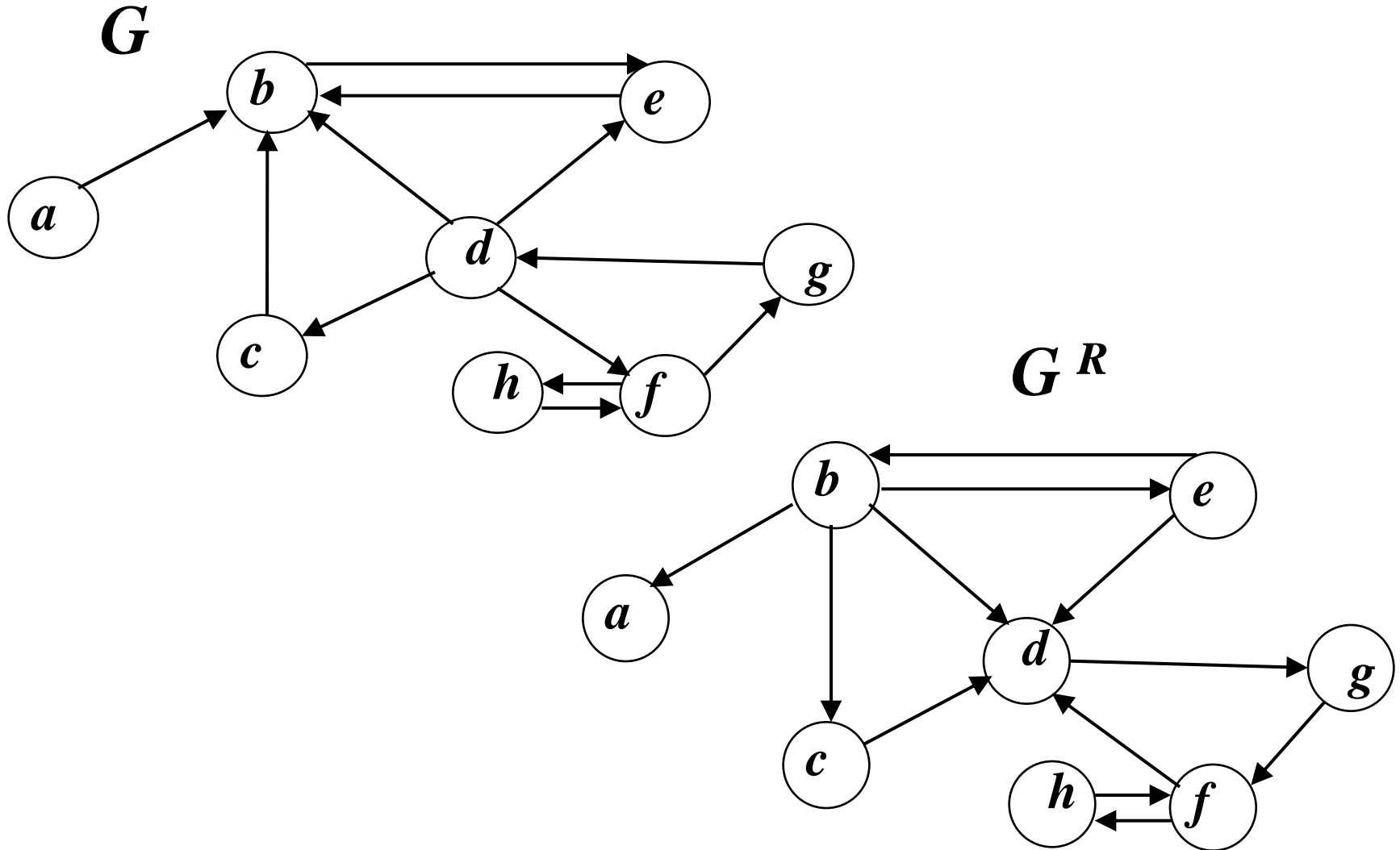
**Pf.**  $\Leftarrow$  Path from  $u$  to  $v$ : concatenate  $u$ - $s$  path with  $s$ - $v$  path.

Path from  $v$  to  $u$ : concatenate  $v$ - $s$  path with  $s$ - $u$  path. ■



↖  
ok if paths overlap

# Example: reverse graph $G^R$

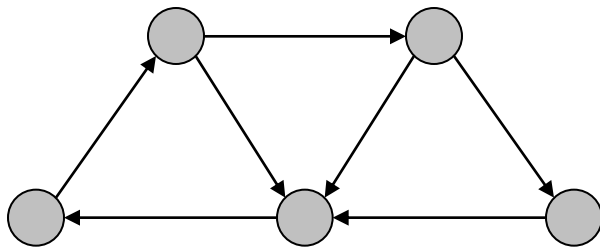


## Strong Connectivity: Algorithm

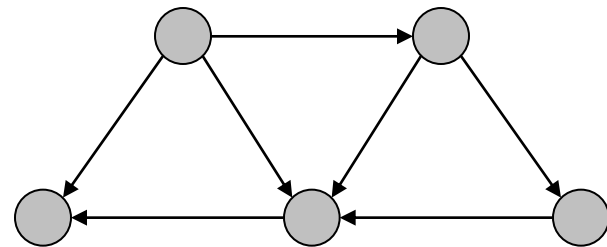
**Theorem.** Can determine if  $G$  is strongly connected in  $O(m + n)$  time.

**Pf.**

- Pick any node  $s$ .
- Run BFS from  $s$  in  $G$ .
- Run BFS from  $s$  in  $G^{\text{rev}}$ . reverse orientation of every edge in  $G$
- Return true iff all nodes reached in both BFS executions.
- Correctness follows immediately from previous lemma. ▪



strongly connected



not strongly connected

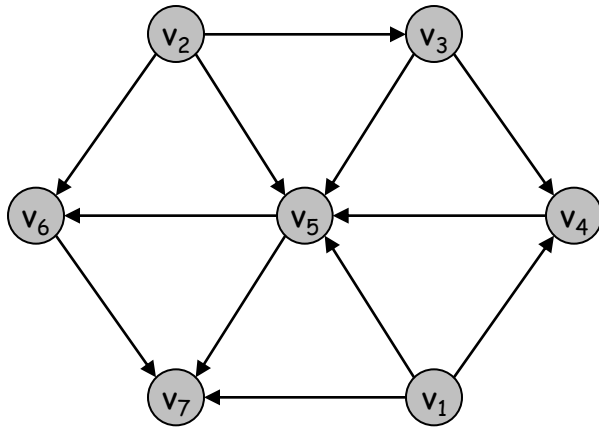
## 3.6 DAGs and Topological Ordering

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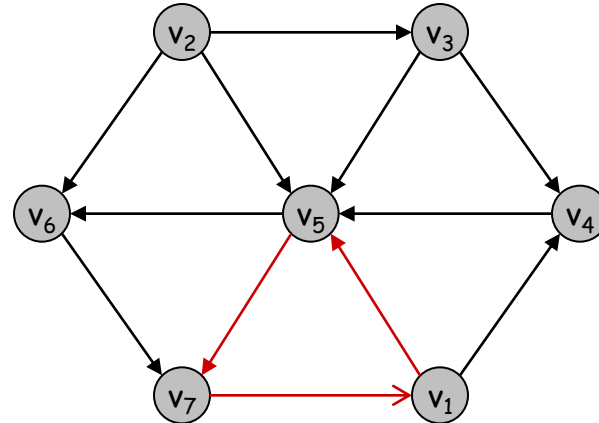
# Directed Acyclic Graphs

Def. An **DAG** is a directed graph that contains no directed cycles.

Ex. Precedence constraints: edge  $(v_i, v_j)$  means  $v_i$  must precede  $v_j$ .



a DAG



Not a DAG

# Precedence Constraints

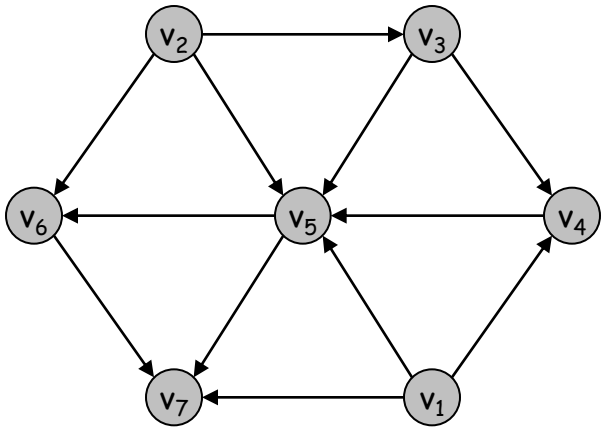
Precedence constraints. Edge  $(v_i, v_j)$  means task  $v_i$  must occur before  $v_j$ .

## Applications.

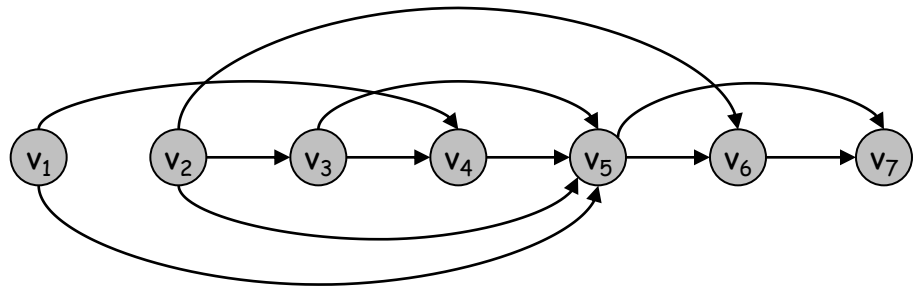
- Course prerequisite graph: course  $v_i$  must be taken before  $v_j$ .
- Compilation: module  $v_i$  must be compiled before  $v_j$ . Pipeline of computing jobs: output of job  $v_i$  needed to determine input of job  $v_j$ .

# Directed Acyclic Graphs

**Def.** A **topological order** of a directed graph  $G = (V, E)$  is an ordering of its nodes as  $v_1, v_2, \dots, v_n$  so that for every edge  $(v_i, v_j)$  we have  $i < j$ .



$G$

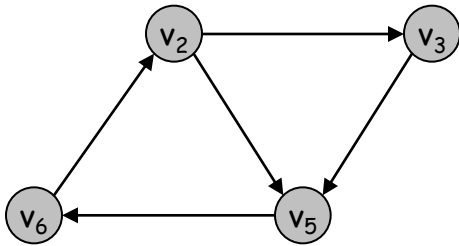


a topological ordering for  $G$

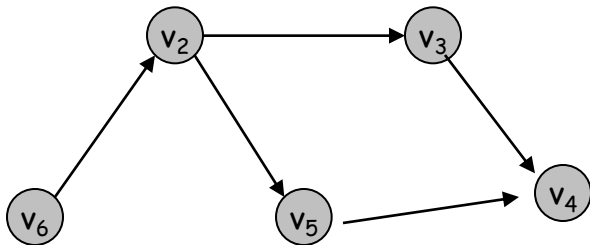


# Directed Acyclic Graphs

**Def.** A **topological order** of a directed graph  $G = (V, E)$  is an ordering of its nodes as  $v_1, v_2, \dots, v_n$  so that for every edge  $(v_i, v_j)$  we have  $i < j$ .



No topological order



Topological orders:

$v_6 \rightarrow v_2 \rightarrow v_3 \rightarrow v_5 \rightarrow v_4$

$v_6 \rightarrow v_2 \rightarrow v_5 \rightarrow v_3 \rightarrow v_4$

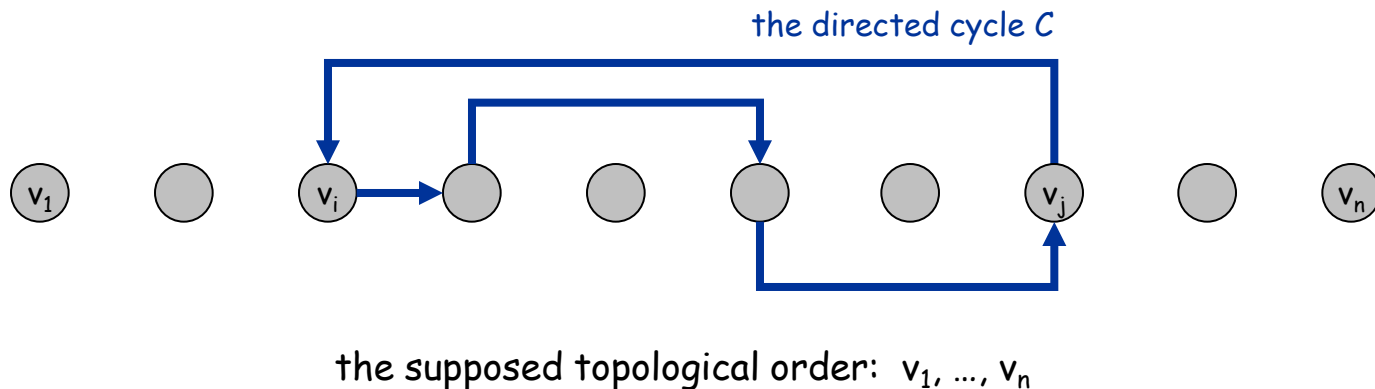
What is the relation between  
DAG's and topological orderings?

# Directed Acyclic Graphs

**Lemma.** If  $G$  has a topological order, then  $G$  is a DAG.

**Pf.** (by contradiction)

- Suppose that  $G$  has a topological order  $v_1, \dots, v_n$  and that  $G$  also has a directed cycle  $C$ . Let's see what happens.
- Let  $v_i$  be the lowest-indexed node in  $C$ , and let  $v_j$  be the node just before  $v_i$ ; thus  $(v_j, v_i)$  is an edge.
- By our choice of  $i$ , we have  $i < j$ .
- On the other hand, since  $(v_j, v_i)$  is an edge and  $v_1, \dots, v_n$  is a topological order, we must have  $j < i$ , a contradiction. ■



# Directed Acyclic Graphs

**Lemma.** If  $G$  has a topological order, then  $G$  is a DAG.

Q. Does every DAG have a topological ordering?

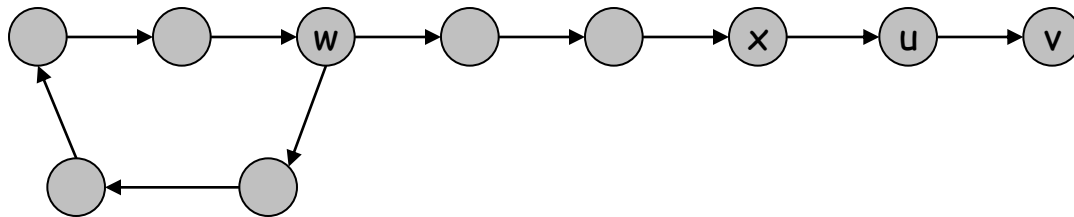
Q. If so, how do we compute one?

# Directed Acyclic Graphs

**Lemma.** If  $G$  is a DAG, then  $G$  has a node with no incoming edges.

**Pf.** (by contradiction)

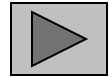
- Suppose that  $G$  is a DAG and every node has at least one incoming edge. Let's see what happens.
- Pick any node  $v$ , and begin following edges backward from  $v$ . Since  $v$  has at least one incoming edge  $(u, v)$  we can walk backward to  $u$ .
- Then, since  $u$  has at least one incoming edge  $(x, u)$ , we can walk backward to  $x$ .
- Repeat until we visit a node, say  $w$ , twice.
- Let  $C$  denote the sequence of nodes encountered between successive visits to  $w$ .  $C$  is a cycle. ▪



# Directed Acyclic Graphs

**Lemma.** If  $G$  is a DAG, then  $G$  has a topological ordering.

**Pf.** (by induction on  $n$ )



- Base case: true if  $n = 1$ .
- Given DAG on  $n > 1$  nodes, find a node  $v$  with no incoming edges.
- $G - \{v\}$  is a DAG, since deleting  $v$  cannot create cycles.
- By inductive hypothesis,  $G - \{v\}$  has a topological ordering.
- Place  $v$  first in topological ordering; then append nodes of  $G - \{v\}$
- in topological order. This is valid since  $v$  has no incoming edges. ▪

---

To compute a topological ordering of  $G$ :

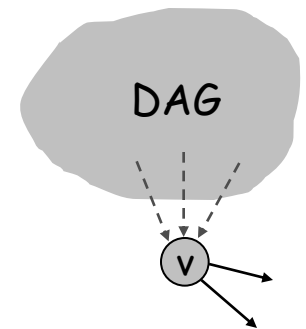
Find a node  $v$  with no incoming edges and order it first

Delete  $v$  from  $G$

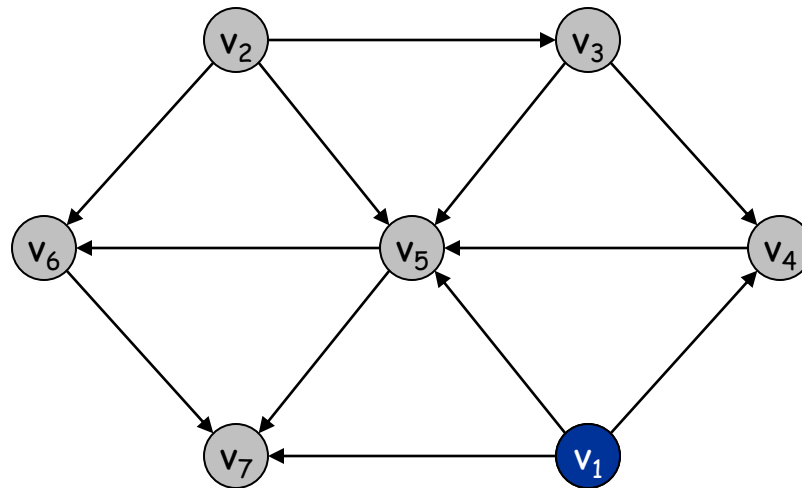
Recursively compute a topological ordering of  $G - \{v\}$

and append this order after  $v$

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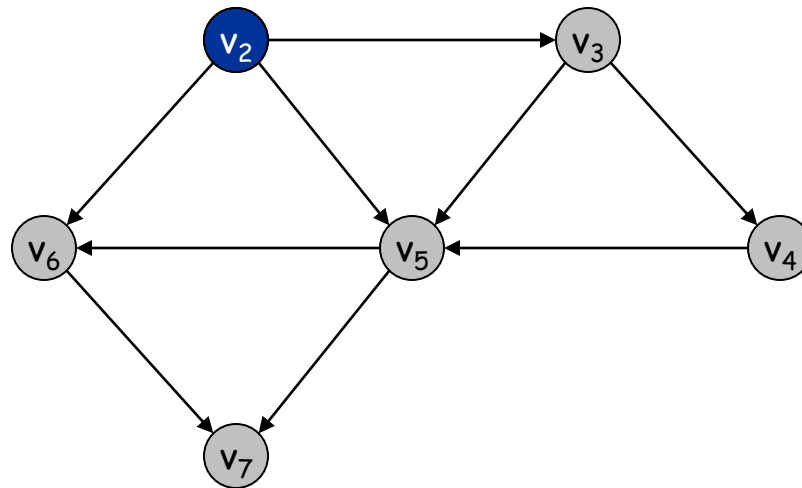


# Topological Ordering Algorithm: Example



Topological order:

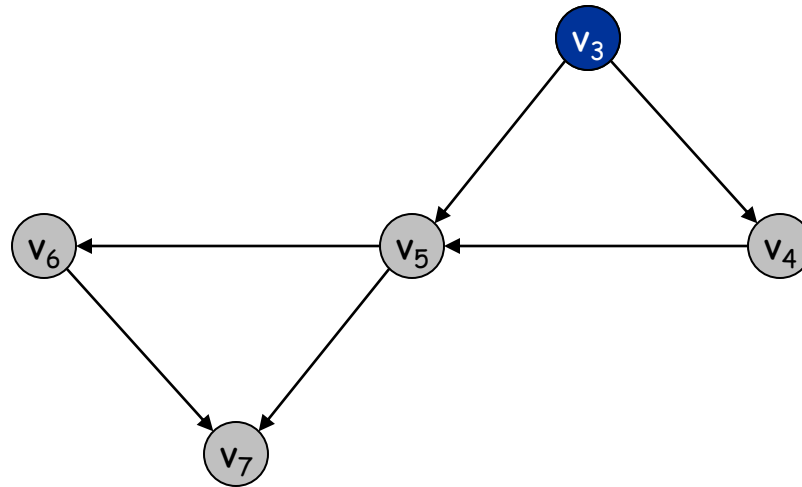
# Topological Ordering Algorithm: Example



Topological order:  $v_1$

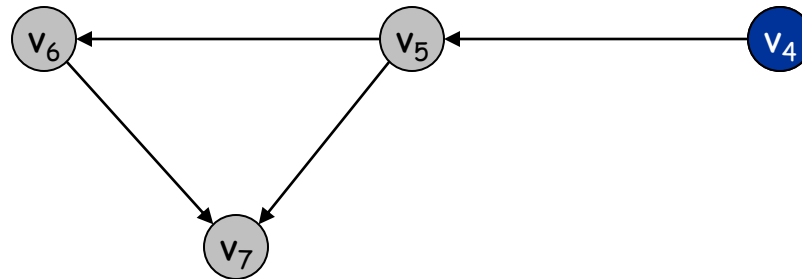


# Topological Ordering Algorithm: Example



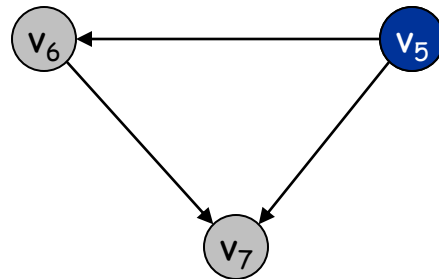
Topological order:  $v_1, v_2$

# Topological Ordering Algorithm: Example



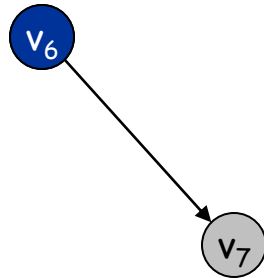
Topological order:  $v_1, v_2, v_3$

# Topological Ordering Algorithm: Example



Topological order:  $v_1, v_2, v_3, v_4$

# Topological Ordering Algorithm: Example



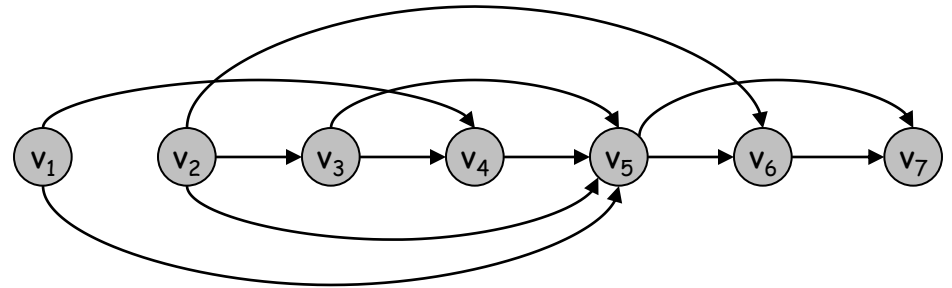
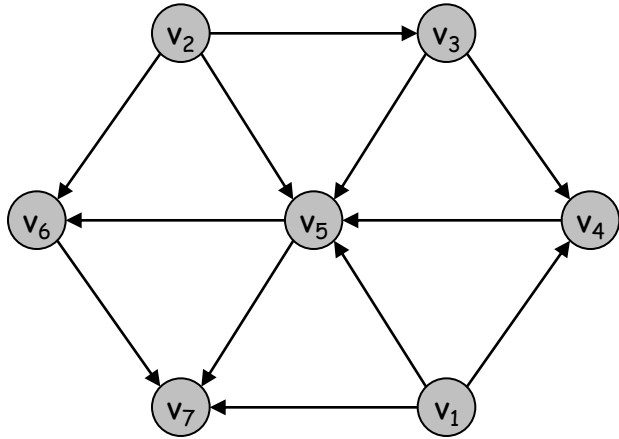
Topological order:  $v_1, v_2, v_3, v_4, v_5$

# Topological Ordering Algorithm: Example



Topological order:  $v_1, v_2, v_3, v_4, v_5, v_6$

# Topological Ordering Algorithm: Example



Topological order:  $v_1, v_2, v_3, v_4, v_5, v_6, v_7$ .

# Topological Sorting Algorithm: Running Time

## Algorithm 1

Modify the DFS (BFS) to fill a vector `count` that stores, for each node  $v$ , the number of remaining edges that are incident in  $v$

$i \leftarrow 0$

While  $i < n$

$v \leftarrow$  node with minimum degree in  $G$

$i++$

    If  $v$  has degree larger than 0

        Return  $G$  is not a DAG

    End If

    Add  $v$  to the topological order

    Remove  $v$  from  $G$

    Update the vector `count` for the nodes adjacent to  $v$

End

# Topological Sorting Algorithm: Running Time

**Analysis :** `count` stored as a vector

$O(n+m)$  to compute the `count`

The loop executes at most  $n$  times

$O(n)$  to find the node  $v$  with minimum degree

$O(1)$  to remove  $v$

$O(d(u))$  to update the neighbors of  $v$

→  $O(n^2 + m)$

**Analysis :** `count` stored as a heap

$O(n+m)$  to compute the vector `count`

The loop executes at most  $n$  times

$O(1)$  to find the node  $v$  with minimum degree

$O(\log n)$  to remove  $v$

$O(d(u) \log n)$  to update the neighbors of  $v$

→  $O(n \log n + m \log n)$



# Topological Sorting Algorithm: Running Time

**Theorem.** Algorithm finds a topological order in  $O(m + n)$  time.

**Pf.**

- Maintain the following information:
  - $\text{count}[w]$  = remaining number of incoming edges
  - $S$  = set of remaining nodes with no incoming edges
- Initialization:  $O(m + n)$  via single scan through graph.
- Update: to delete  $v$ 
  - remove  $v$  from  $S$
  - decrement  $\text{count}[w]$  for all edges from  $v$  to  $w$ , and add  $w$  to  $S$  if  $\text{count}[w]$  hits 0
  - this is  $O(1)$  per edge   ▪

# Exercicio Resolvido

## Problema

Seja um grafo  $G=(V,E)$  representando a planta de um edifício. Inicialmente temos dois robos localizados em dois vértices de  $V$ ,  $a$  e  $b$ , que devem alcançar os vértices  $c$  e  $d$  de  $V$ .

A cada passo um dos robos deve caminhar para um vertice adjacente ao vértice que ele se encontra no momento.

Exiba um algoritmo polinomial que decida se é possível, ou não, os robos partirem de  $a$  e  $b$  e chegarem em  $c$  e  $d$ , respectivamente, sem que em nenhum momento eles estejam a distância menor do que  $r$ , onde  $r$  é um inteiro dado.

## Exercicio Resolvido

### Solução

Seja  $H$  um grafo representando as configurações possíveis (posições dos robos) do problema. Cada nó de  $H$  corresponde a um par ordenado de vértices de  $V$  a distância menor ou igual a  $r$ .

Um par de nós  $u$  e  $v$  de  $H$  tem uma aresta se e somente em um passo é possível alcançar a configuração  $v$  a partir da configuração  $u$  em um único passo, ou seja, se  $u=(u_1,u_2)$  e  $v=(v_1,v_2)$  então uma das alternativas é válida

(i)  $u_1=v_1$  e  $(u_2,v_2)$  pertence a  $E$

(ii)  $u_2=v_2$  e  $(u_1,v_1)$  pertence a  $E$

O problema portanto consiste em decidir se existe um caminho entre o nó  $(a,b)$  e o nó  $(c,d)$  em  $H$ . Isso requer tempo linear no tamanho de  $H$ . Como  $H$  tem  $O(n^2)$  vértices e  $O(n^3)$  arestas, o algoritmo executa em  $O(n^3)$ .

# Strongly connected components

# Strongly connected components

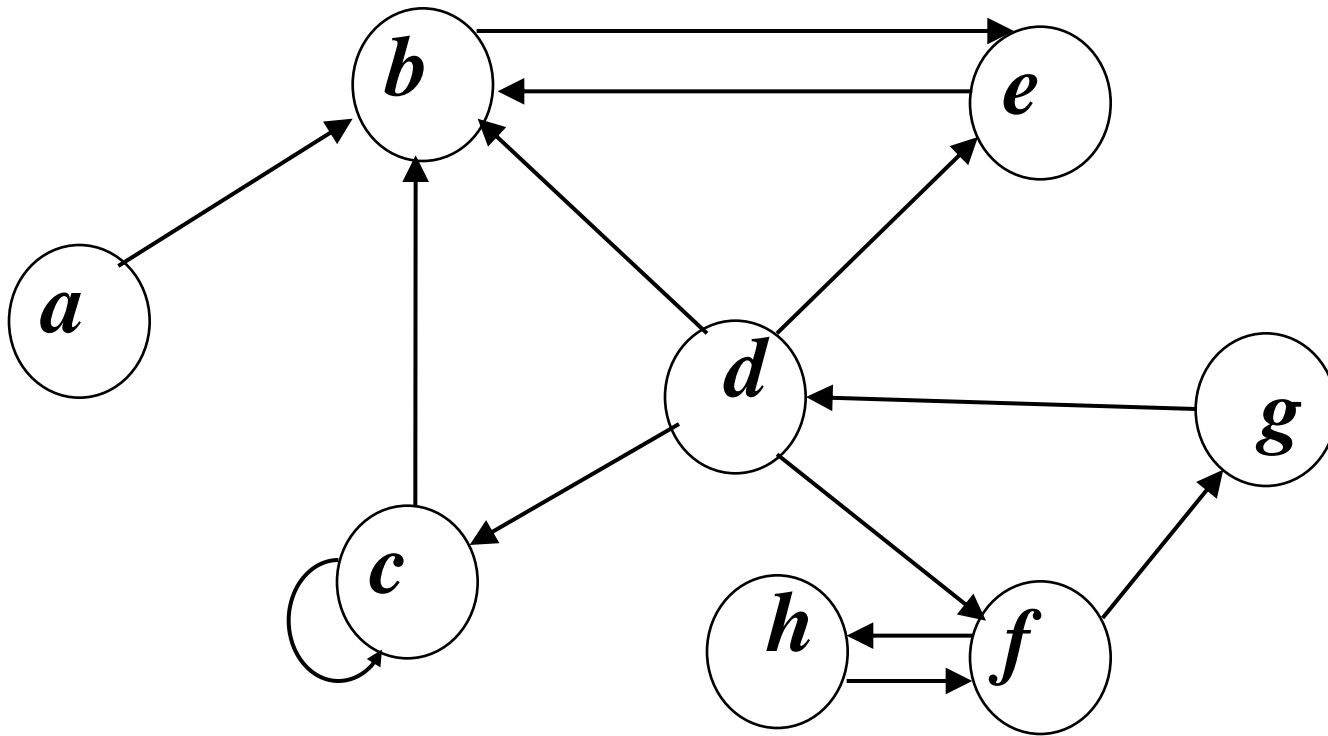
Definition: a strongly connected components  $C$  of a directed graph  $G = (V, E)$  is a maximal sub-graphs (no common vertices or edges) such that for any two vertices  $u$  and  $v$  in  $C$ , there is a path from  $u$  to  $v$  and from  $v$  to  $u$ .

Equivalence classes of the binary  $path(u, v)$  relation, denoted by  $u \sim v$ .

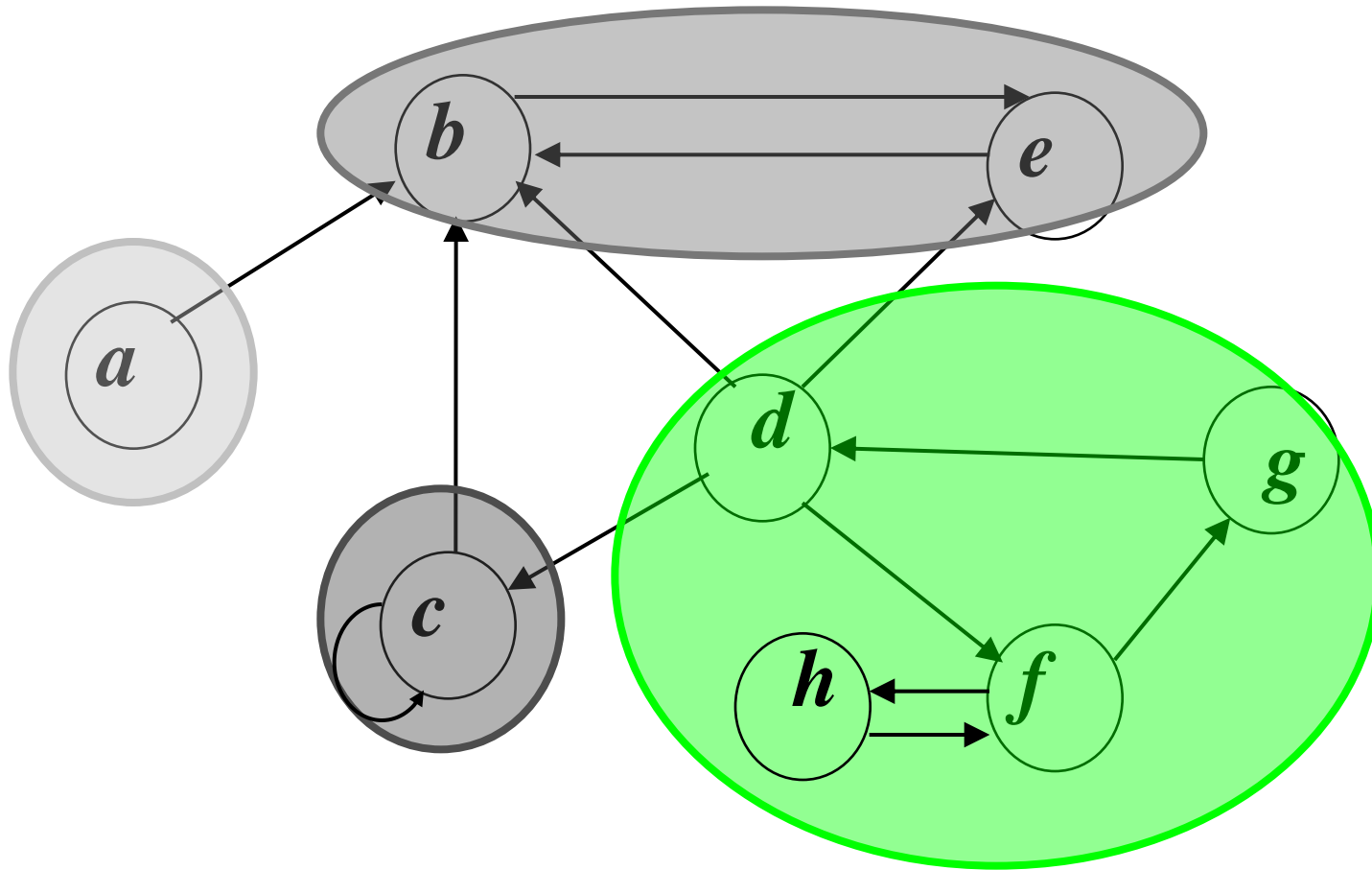
Applications: networking, communications.

Problem: compute the strongly connected components of  $G$  in linear time  $\Theta(|V| + |E|)$ .

# Example: strongly connected components



# Example: strongly connected components



# Strongly connected components graph

Definition: the SCC graph  $G^{\sim} = (V^{\sim}, E^{\sim})$  of the graph  $G = (V, E)$  is as follows:

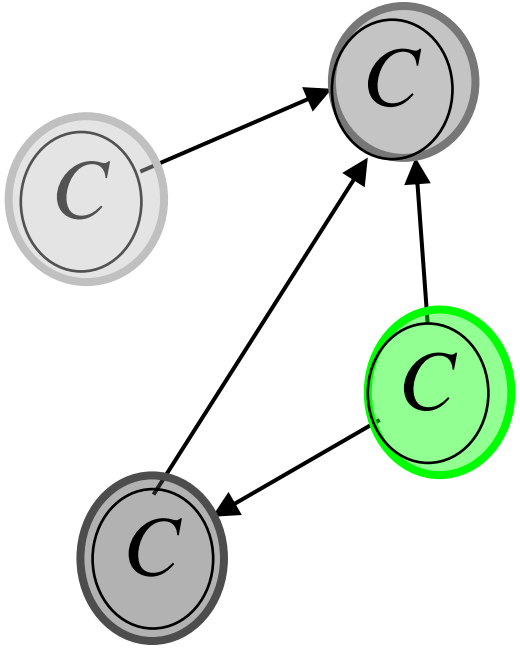
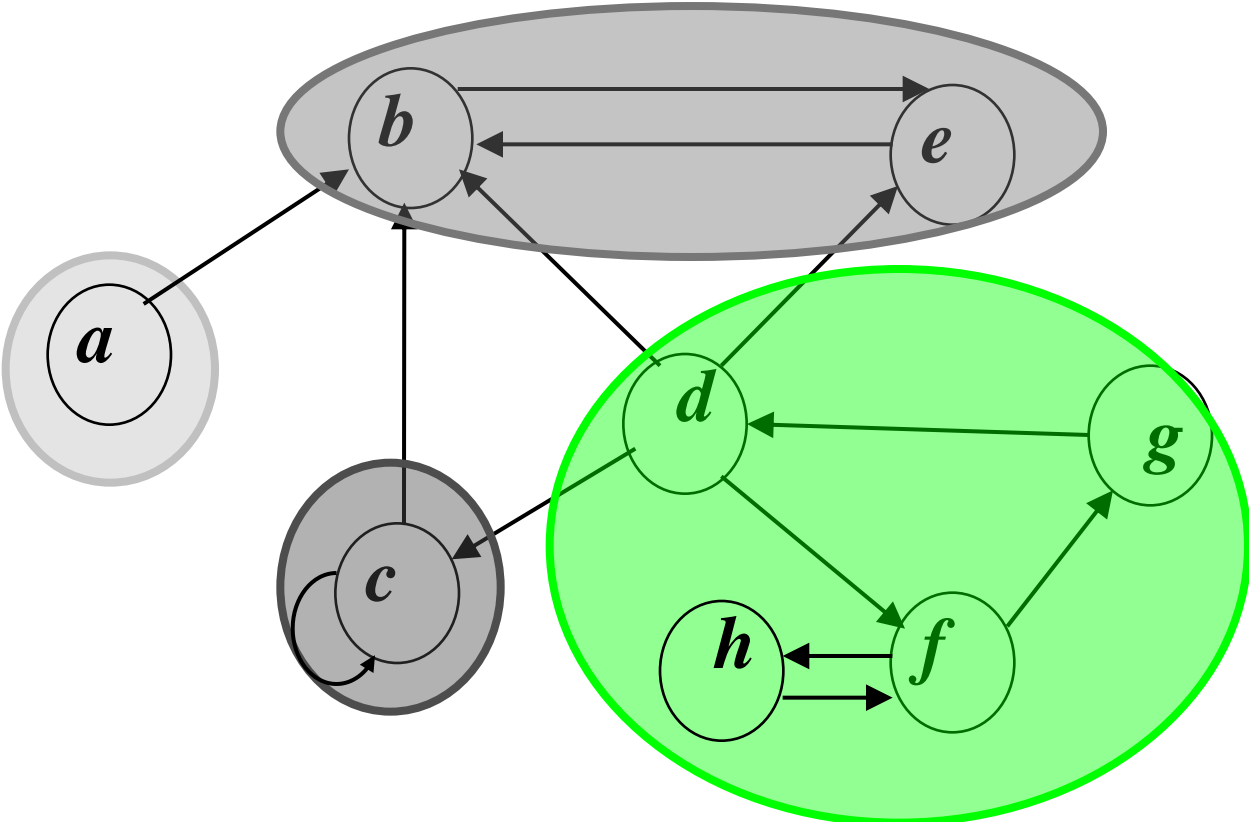
- $V^{\sim} = \{C_1, \dots, C_k\}$ . Each SCC is a vertex.
- $E^{\sim} = \{(C_i, C_j) \mid i \neq j \text{ and there is } (x, y) \in E, \text{ where } x \in C_i \text{ and } y \in C_j\}$ . A directed edge between components corresponds to a directed edge between them from any of their vertices.

$G^{\sim}$  is a directed acyclic graph (no directed cycles)!

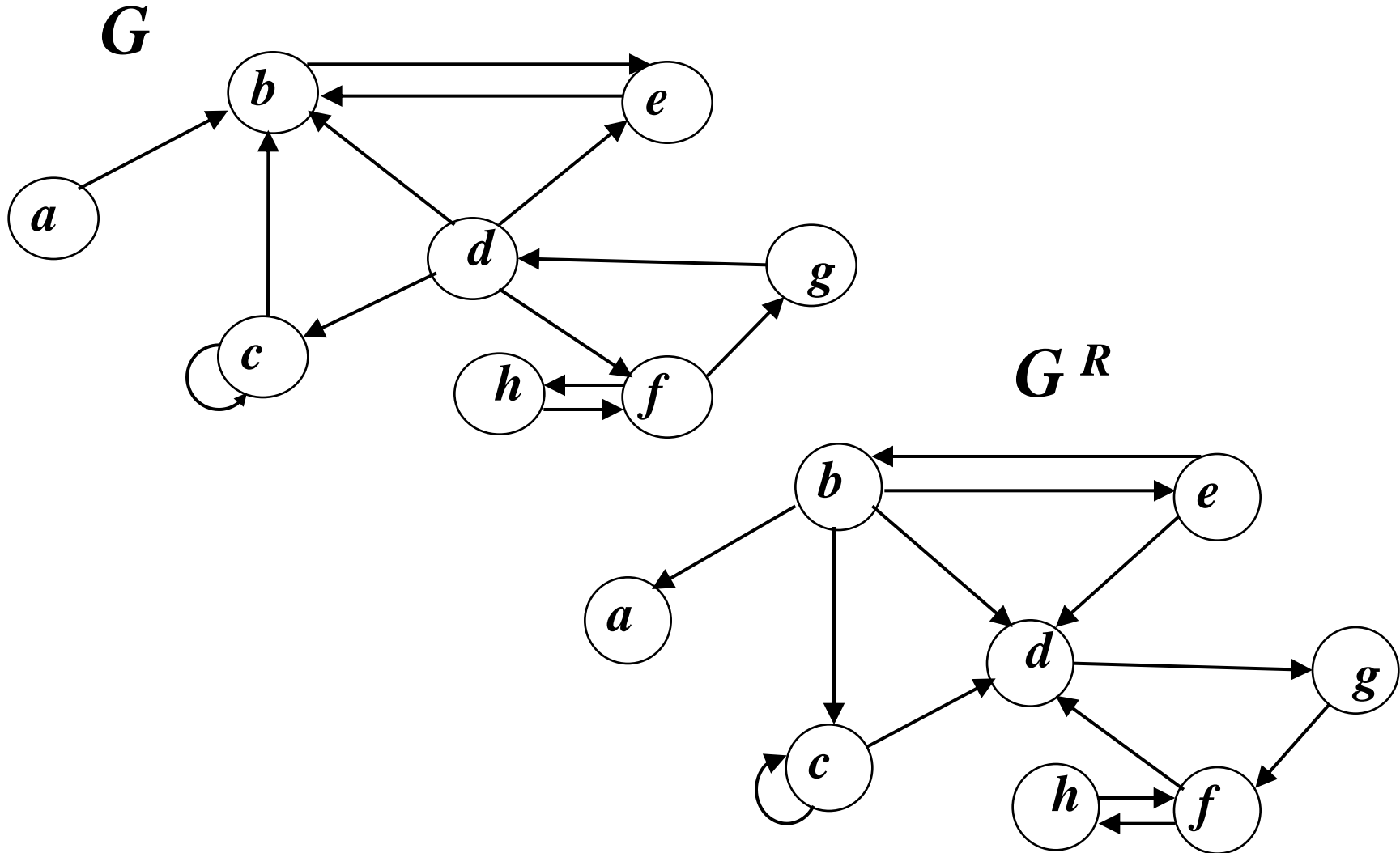
Definition: the reverse graph  $G^R = (V, E^R)$  of the graph  $G = (V, E)$  is  $G$  with its edge directions reversed:  $E^R = \{(u, v) \mid (v, u) \in E\}$ .



# Example: SCC graph



# Example: reverse graph $G^R$



# SCC algorithm: Approach

$H \leftarrow G \sim$

**While**  $H$  is not empty

$v \leftarrow$  node of  $G$  that lies in a sink node of  $H$  (\*)

$C \leftarrow$  connected component returned by DFS( $v$ )

$H \leftarrow H - C$

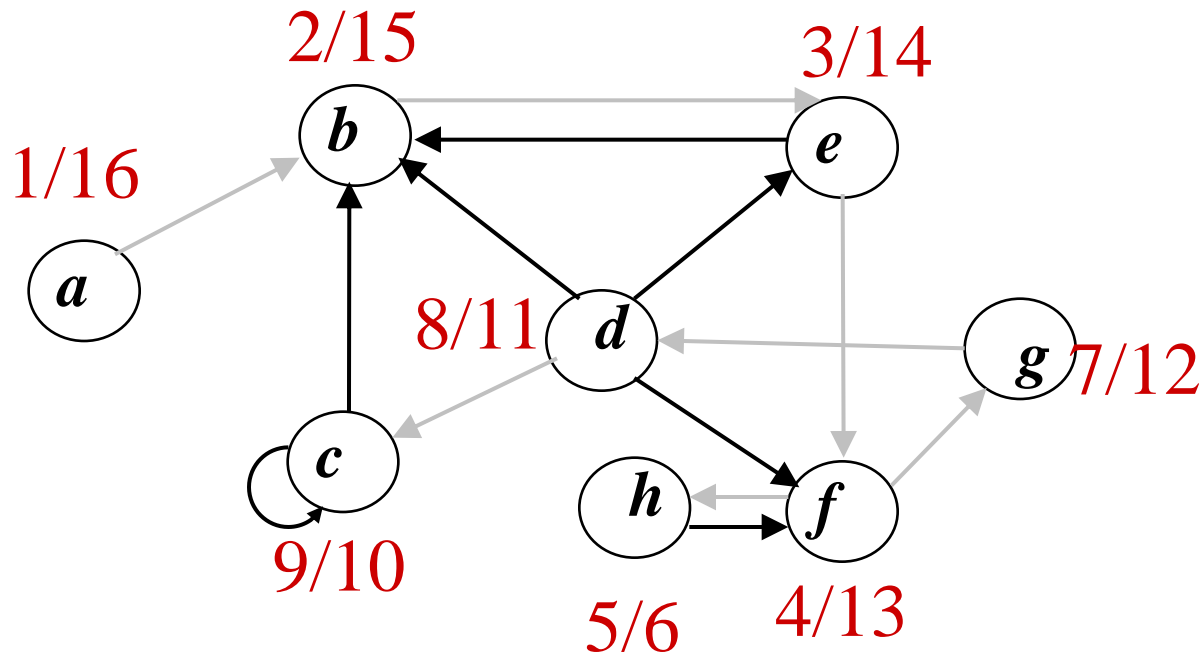
**End**

- *A sink node is a node with outdegree 0*
- *If we manage to execute (\*) we are done*

# DFS numbering

## Useful information

- $\text{pre}(v)$ : "time" when a node is visited in a DFS
- $\text{post}(v)$ : "time" when  $\text{DFS}(v)$  finishes



# DFS numbering

## DFS( $G$ )

0.  $\text{time} \leftarrow 1$
1. **Para todo**  $v$  em  $G$
2.     **Se**  $v$  não visitado **então**
3.         DFS-Visit( $G, v$ )

## DFS-Visit( $G, v$ )

1. Marque  $v$  como visitado
- 1.5  $\text{pre}(v) \leftarrow \text{time}; \text{time}++$
2. **Para todo**  $w$  em  $\text{Adj}(v)$
3.     **Se**  $w$  não visitado **então**
4.         Insira aresta  $(v, w)$  na árvore
5.         DFS-Visit( $G, w$ )
6.  $\text{Post}(v) \leftarrow \text{time}; \text{time}++$

# DFS numbering

**Property** If  $C$  and  $D$  are strongly connected components and there is an edge from a node in  $C$  to a node in  $D$ , then the highest  $\text{post}()$  in  $C$  is bigger than the highest  $\text{post}()$  number in  $D$

*Proof. Let  $c$  be the first node visited in  $C$  and  $d$  be the first node visited in  $D$ .*

*Case i)  $c$  is visited before  $d$ .*

- *DFS( $c$ ) visit all nodes in  $D$  (they are reachable from  $c$  due to the existing edge)*
- *Thus,  $\text{post}(c) > \text{post}(x)$  for every  $x$  in  $D$*

# DFS numbering

**Property** If  $C$  and  $D$  are strongly connected components and there is an edge from a node in  $C$  to a node in  $D$ , then the highest  $\text{post}()$  in  $C$  is bigger than the highest  $\text{post}()$  number in  $D$

*Proof. Let  $c$  be the first node visited in  $C$  and  $d$  be the first node visited in  $D$*

*Case 2)  $d$  is visited before  $c$ .*

- *DFS( $d$ ) visit all nodes in  $D$  because all of them are reachable from  $d$*
- *DFS( $d$ ) does not visit nodes from  $C$  since they are not reachable from  $d$ .*
- *Thus,  $\text{post}(x) < \text{post}(y)$  for every pair of nodes  $x, y$ , with  $x$  in  $D$  and  $y$  in  $C$*

# DFS numbering

**Corollary.** The node of  $G$  with highest post number lies in a source node in  $G^\sim$

*Observation 1.* The strongly connected components are the same in  $G$  and  $G^R$

*Observation 2.* If a node lies in a source node of  $G^\sim$  then it lies in a sink node in  $(G^R)^\sim$



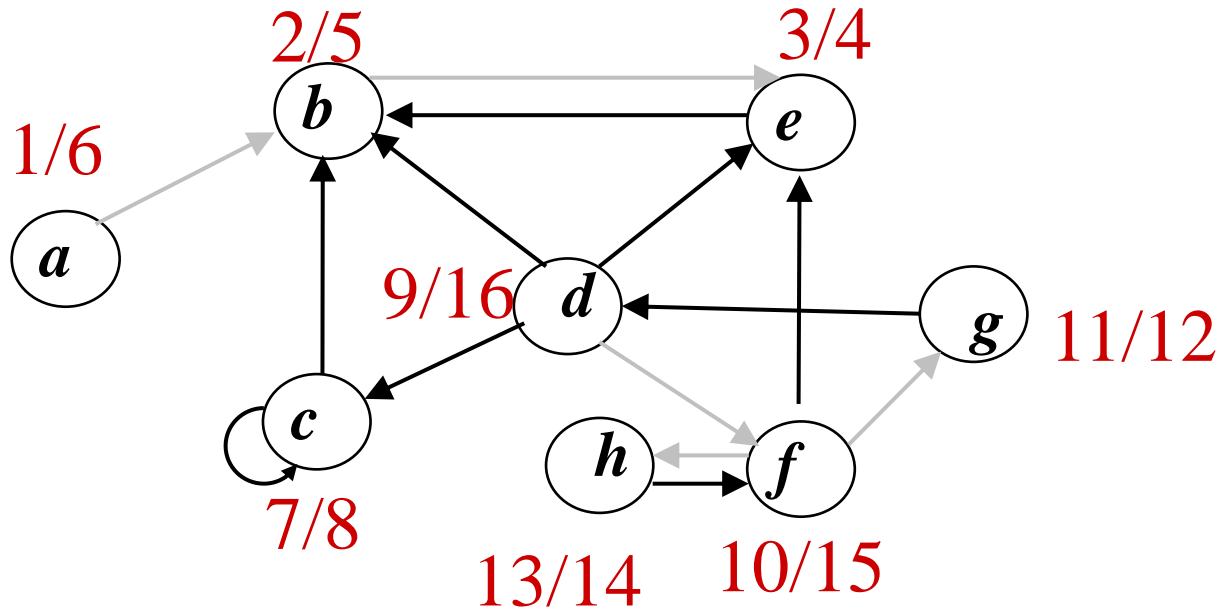
# SCC algorithm

Idea: compute the SCC graph  $G^{\sim} = (V^{\sim}, E^{\sim})$  with two DFS, one for  $G$  and one for its reverse  $G^R$ , visiting the vertices in reverse order.

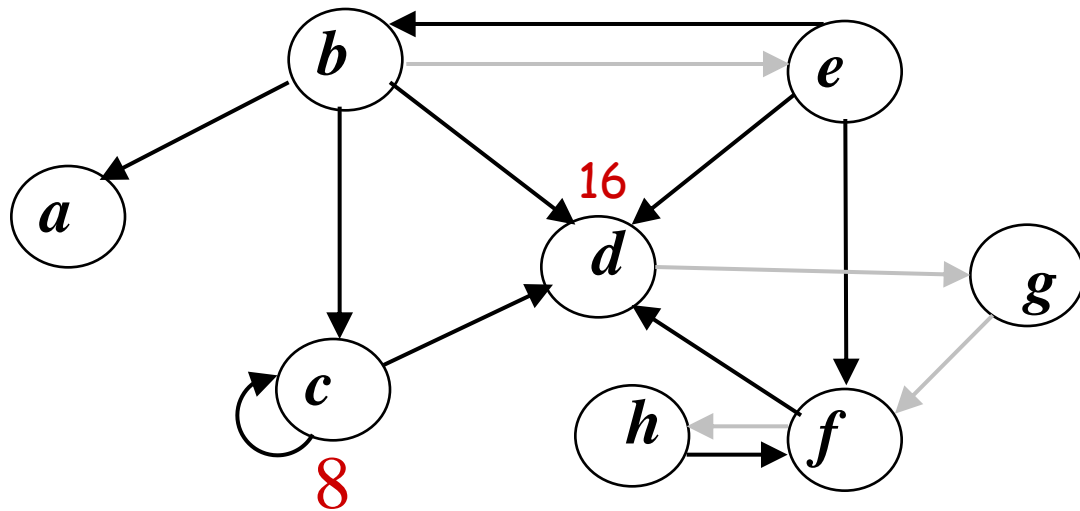
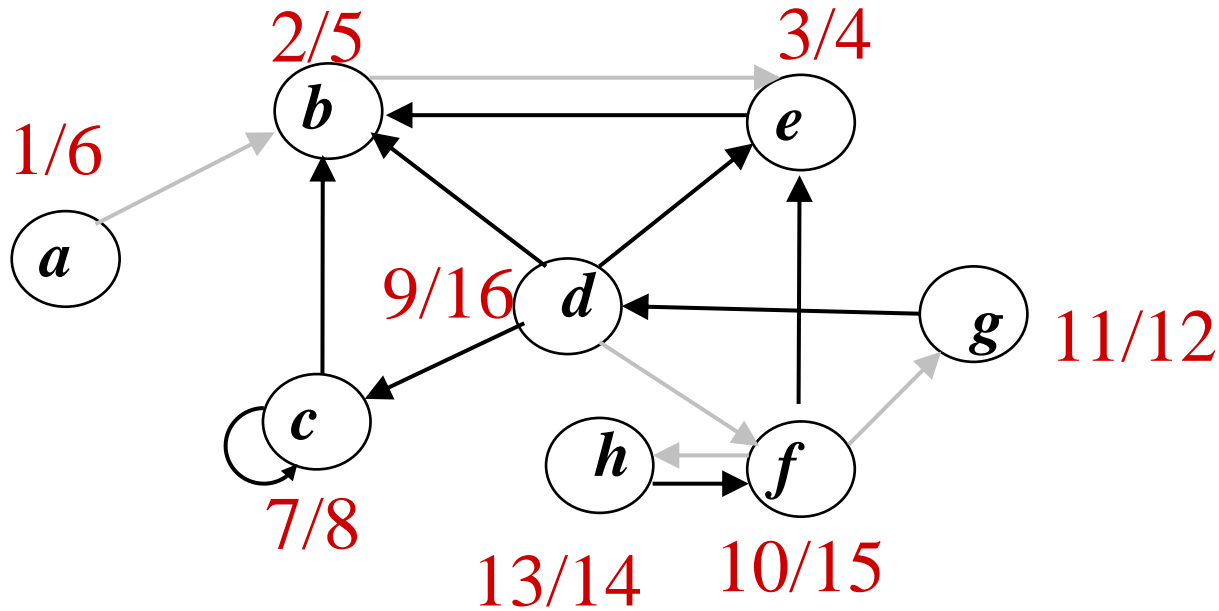
## SCC( $G$ )

1. DFS( $G$ ) to compute  $post[v]$ ,  $\forall v \in V$
2. Compute  $G^R$
3. DFS( $G^R$ ) in the order of decreasing  $post[v]$
4. Output the vertices of each tree in the DFS forest as a separate SCC.

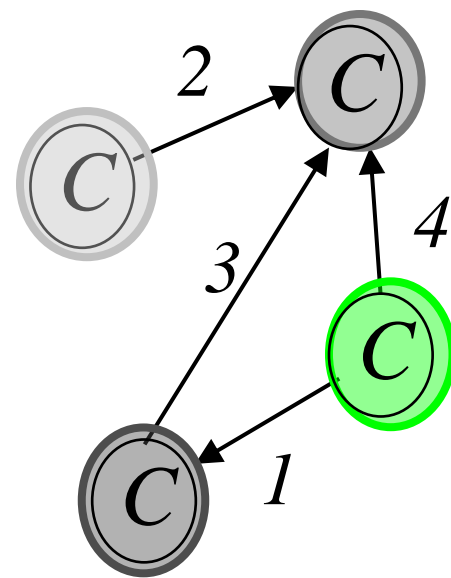
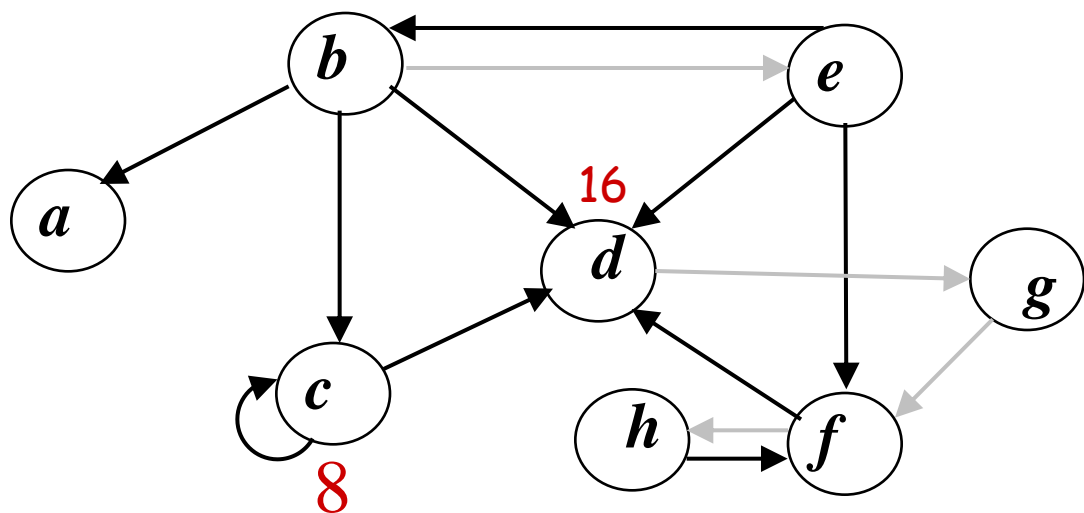
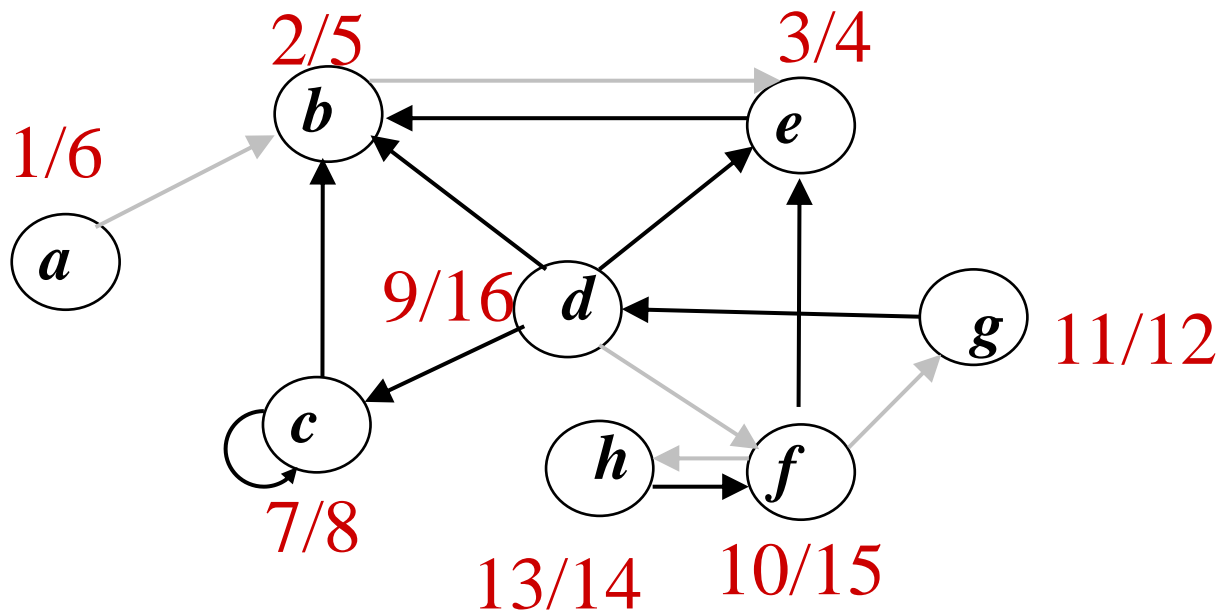
# Example: computing SCC



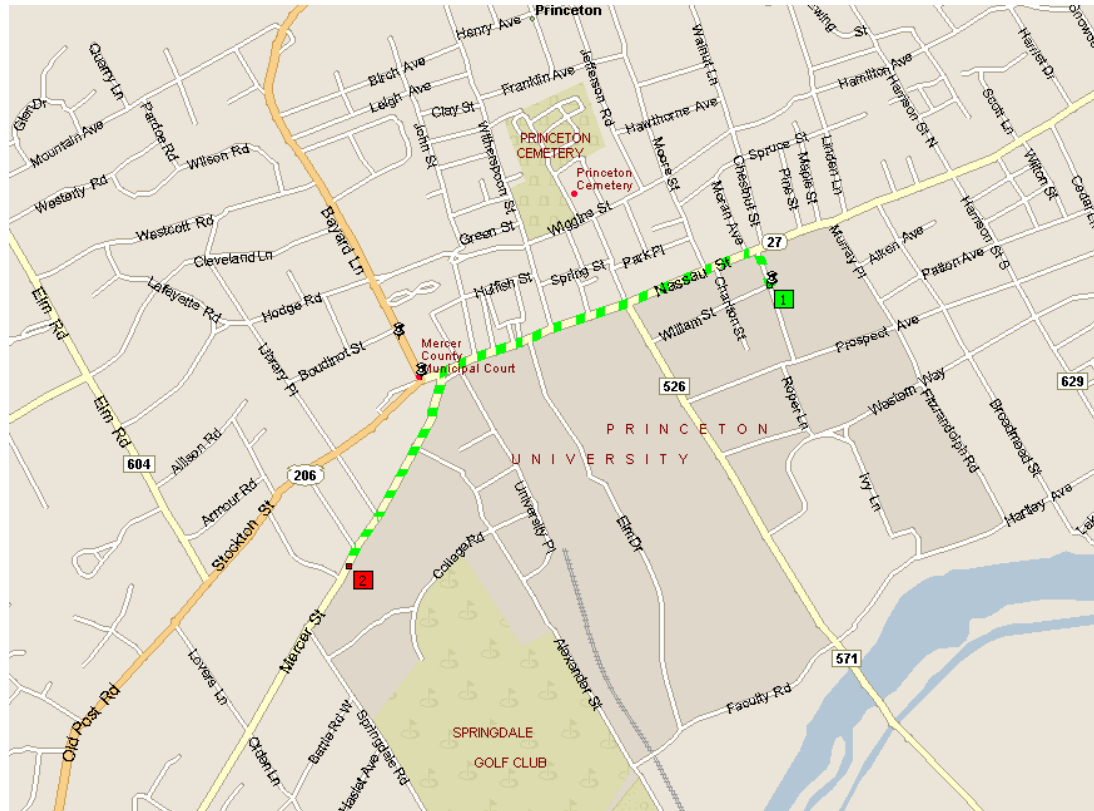
# Example: computing SCC



# Example: computing SCC



# 4.4 Shortest Paths in a Graph



shortest path from Princeton CS department to Einstein's house

# Shortest Path Problem

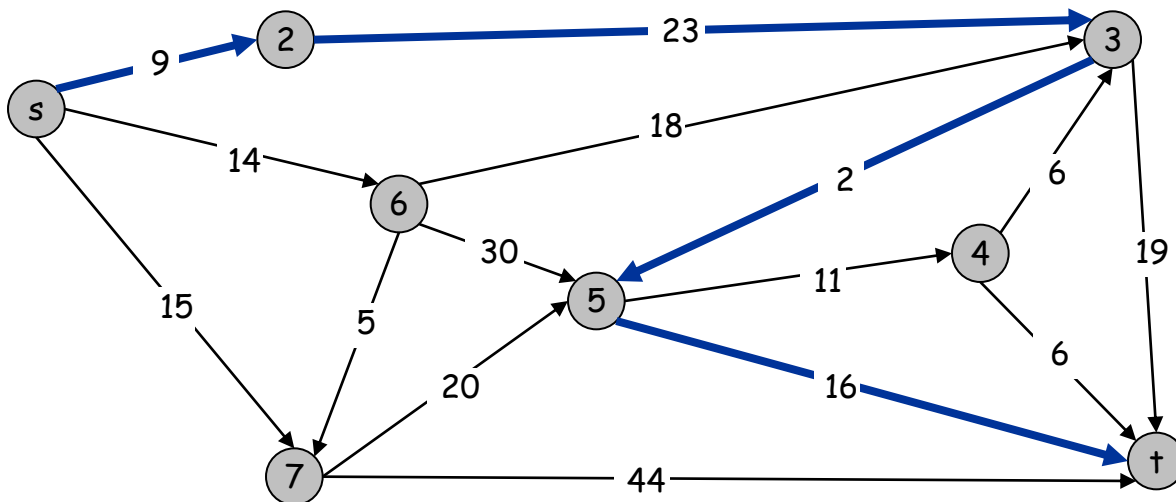
## Shortest path network.

- Directed graph  $G = (V, E)$ .
- Source  $s$ , destination  $t$ .
- Length  $c_e =$  length of edge  $e$ . (non-negative numbers)

Shortest path problem: find shortest directed path from  $s$  to  $t$ .



cost of path = sum of edge costs in path

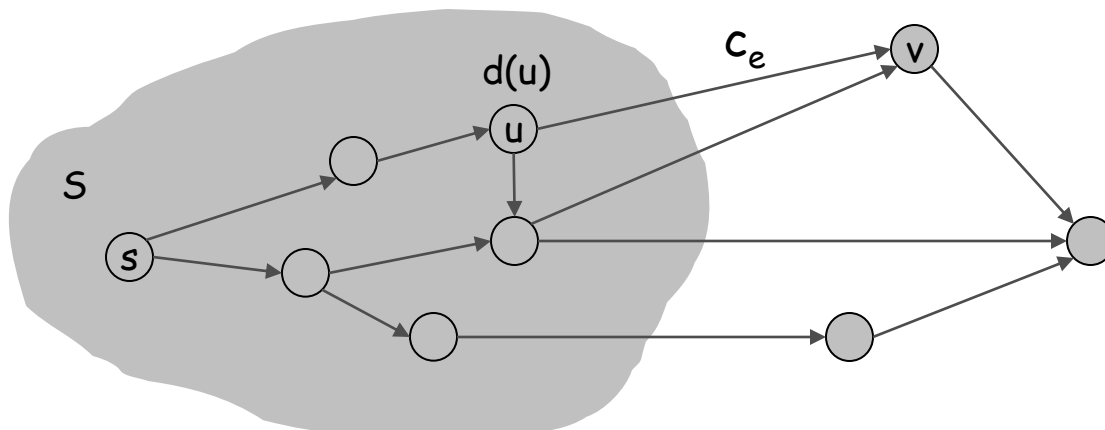


Cost of path  $s-2-3-5-t$   
 $= 9 + 23 + 2 + 16$   
 $= 50.$

# Dijkstra's Algorithm

## Approach

- Find the node closest to the  $s$ , then the second closest, then the third closest, and so on ...
- Key observation:
  - the shortest path from  $s$  to the  $k$ -th closest node can be decomposed as the shortest path from  $s$  to the  $i$ -th closest node (for some  $i < k$ ) and an edge from the  $i$ -th closest node to the  $k$ -th closest node.



# Dijkstra's Algorithm

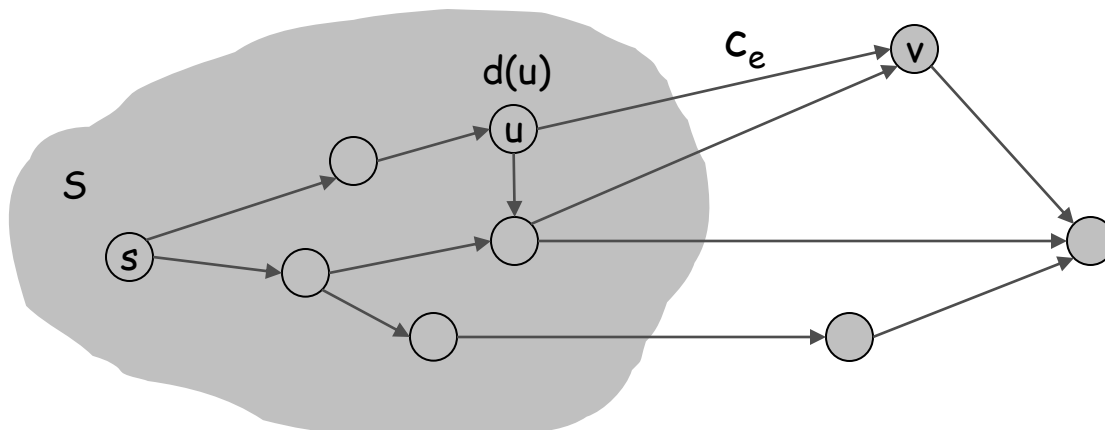
## Dijkstra's algorithm.

- Maintain a set of **explored nodes**  $S$  for which we have determined the shortest path distance  $d(u)$  from  $s$  to  $u$ .
- Initialize  $S = \{s\}$ ,  $d(s) = 0$ .
- Repeatedly choose unexplored node  $v$  which minimizes

$$\pi(v) = \min_{e=(u,v): u \in S} d(u) + c_e,$$

add  $v$  to  $S$ , and set  $d(v) = \pi(v)$ .

← shortest path to some  $u$  in explored part, followed by a single edge  $(u, v)$





# Dijkstra's Algorithm

## Dijkstra's algorithm.

- Maintain a set of **explored nodes**  $S$  for which we have determined the shortest path distance  $d(u)$  from  $s$  to  $u$ .
- Initialize  $S = \{s\}$ ,  $d(s) = 0$ .
- Repeatedly choose unexplored node  $v$  which minimizes

$$\pi(v) = \min_{e=(u,v): u \in S} d(u) + c_e,$$

add  $v$  to  $S$ , and set  $d(v) = \pi(v)$ .

← shortest path to some  $u$  in explored part, followed by a single edge  $(u, v)$

## Complexity (Naïve Implementation)

- $n$  loops, one for each node
- $(n+m)$  to find the the node with minimum  $\pi$
- $\rightarrow O(n(n+m))$  time

# Dijkstra's Algorithm: Implementation

For each unexplored node, explicitly maintain  $\pi(v) = \min_{e=(u,v):u \in S} d(u) + c_e$ .

- Next node to explore = node with minimum  $\pi(v)$ .
- When exploring  $v$ , for each incident edge  $e = (v, w)$ , update

$$\pi(w) = \min \{ \pi(w), \pi(v) + c_e \}.$$

**Efficient implementation.** Maintain a priority queue of unexplored nodes, prioritized by  $\pi(v)$ .



PQ Operation	Dijkstra	Array	Binary heap
Insert	$n$	$n$	$\log n$
ExtractMin	$n$	$n$	$\log n$
ChangeKey	$m$	1	$\log n$
IsEmpty	$n$	1	1
Total		$n^2$	$m \log n$

† Individual ops are amortized bounds

# Dijkstra's Algorithm

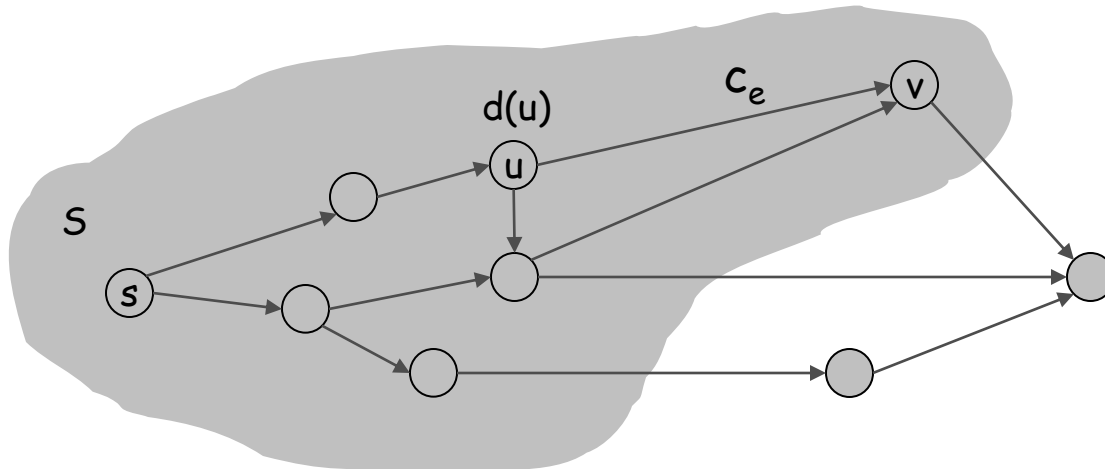
## Dijkstra's algorithm.

- Maintain a set of **explored nodes**  $S$  for which we have determined the shortest path distance  $d(u)$  from  $s$  to  $u$ .
- Initialize  $S = \{s\}$ ,  $d(s) = 0$ .
- Repeatedly choose unexplored node  $v$  which minimizes

$$\pi(v) = \min_{e=(u,v):u \in S} d(u) + c_e,$$

add  $v$  to  $S$ , and set  $d(v) = \pi(v)$ .

shortest path to some  $u$  in explored part, followed by a single edge  $(u, v)$



# Dijkstra's Algorithm: Proof of Correctness

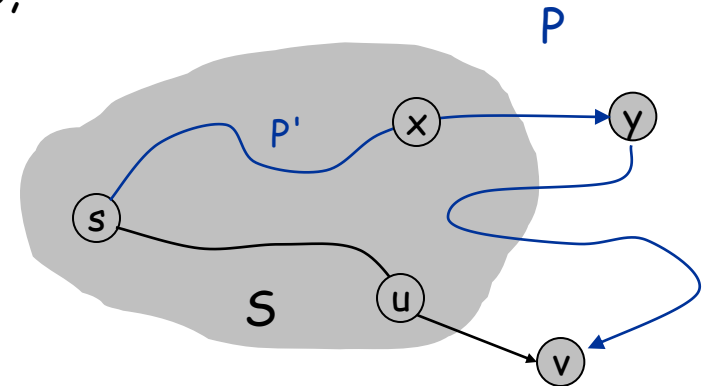
**Invariant.** For each node  $u \in S$ ,  $d(u)$  is the length of the shortest  $s$ - $u$  path.

**Pf.** (by induction on  $|S|$ )

**Base case:**  $|S| = 1$  is trivial.

**Inductive hypothesis:** Assume true for  $|S| = k \geq 1$ .

- Let  $v$  be next node added to  $S$ , and let  $u$ - $v$  be the chosen edge.
- The shortest  $s$ - $u$  path plus  $(u, v)$  is an  $s$ - $v$  path of length  $\pi(v)$ .
- Consider any  $s$ - $v$  path  $P$ . We'll see that it's no shorter than  $\pi(v)$ .
- Let  $x$ - $y$  be the first edge in  $P$  that leaves  $S$ , and let  $P'$  be the subpath to  $x$ .
- $P$  is already too long as soon as it leaves  $S$ .



$$c(P) \geq c(P') + c(x, y) \geq d(x) + c(x, y) \geq \pi(y) \geq \pi(v)$$

↑ nonnegative weights      ↑ inductive hypothesis      ↑ defn of  $\pi(y)$       ↑ Dijkstra chose  $v$  instead of  $y$